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ABSTRACT

This collection of 35 charts culminates the study of the criminal justice system in New York City. A basic chart shows all the positions presented in the narratives of the previous guides. It emphasizes the criminal justice positions mentioned in the narratives and ties them into the respective lines of accountability up to the very top of the accountability chain. It also goes beyond those entities mentioned in the narratives in order to present the complexity of the system. Charts of the individual parts of the system are included, permitting the reader to examine accountability not only within the system, but also within each part of the system. (MLF)

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The League of Women Voters of the City of New York Education Fund

A GUIDE TO ACCOUNTABILITY RELATIONSHIPS IN OUR CRIMINAL JUSTICE SYSTEM

EA 017 089

League of Women Voters
of the City of New York

Education Fund

817 Broadway, New York, N.Y. 10003
(212) 677-5050

Lenore Chester, President

A GUIDE TO ACCOUNTABILITY RELATIONSHIPS IN OUR CRIMINAL JUSTICE SYSTEM

Prepared by

The Criminal Justice Committee
League of Women Voters of the City of New York

Lorraine W. Addelston and Helen E. Harden
Co-Directors

December, 1983

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2. Organization Charts Showing Accountability Relationships in the New York City Criminal Justice System and in Each of the Participating Entities 4

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Introduction:

The League of Women Voters of the City of New York, as part of its purpose to promote informed and active citizen participation in government, has undertaken a study of the criminal justice system in New York City. This has been done under the general theme:

Building Toward a Better New York

In taking the pulse of the citizens of our city, The Committee on Criminal Justice learned that blame has been thrust upon the judges, the police, the district attorneys, the probation officers et al in turn. The resulting questions became: Is there a system of criminal justice? Does anyone know how, from whom and to whom justice flows? Whose responsibilities are the successful conclusions to steps in the system? Where is the authority? Where is the accountability? How can we seek improvements to better our city?

Our first step was to determine how the system is structured and functioning. When we know what the system purports to do, we can proceed to evaluate and to make suggestions for improvement. To accomplish the first step we prepared A GUIDE TO OUR CRIMINAL JUSTICE SYSTEM (Vol. I) with an ORGANIZATION CHART OF THE N.Y.C. CRIMINAL JUSTICE SYSTEM. These were the work of a sub-committee chaired by Dr. Grace S. Wachs of our Committee on Criminal Justice, assisted by Jay Cohen, Legislative Counsel of the Office of the Coordinator of Criminal Justice of the Mayor of the City of New York and Dr. William Wachs, Consultant.

Our second step was the updating of the first one resulting from the fact that the New York State Legislature and the Governor had recodified the Family Court Act. The significant differences in procedures relating to the court process and juvenile transgressors were effective July 1, 1983. The purpose of this second step, therefore, was to present the current process from arrest to disposition of such juveniles. That presentation is, therefore, Volume II of our series of Guides and is entitled A GUIDE TO THE JUVENILE DELINQUENT SYSTEM: THE FAMILY COURT AND THE JUVENILE TRANSGRESSOR. It contains a skeletal Organization Chart of the Entities Involved in the procedures described.

Our third step is this book of charts rooted in the original chart presented at our Fourth Annual Public Forum on December 11, 1982. This book presents, first, a chart showing all the positions presented in the narratives of our previous guides. It emphasizes the criminal justice positions mentioned in the narratives and ties them into the respective lines of accountability from the mentioned positions up to the very top of the accountability chain. It also goes beyond those entities mentioned in the narratives so as to present, so far as possible, the totality and the complexity of the system. In addition to the basic chart showing the entire system and its parts, this book contains the charts of the individual parts of the system. Thus, study of these charts permits the reader to examine accountability not only within the system, but also within each part of the system separately. Furthermore, the charts are the result of introspective study by the heads of the parts of the system; this is how they perceive themselves within the system.

In examining the charts in this guide, you will be better able to trace Accountability Relationships by adhering to the following rationale:

1. Two or more positions on a chart have an upward accountability relationship if the lower position(s) is/are connected to the higher by either a straight line (from the middle of one box to the middle of another box) or a right-angle line going in only one direction (again, from the middle of one box to the middle of another box). Thus, for example, the following positions have accountability relationships among them:

   a. On the first chart, from the Commissioner of the New York City Police Department, to the Mayor, to the People of the City of New York, to the People of the State of New York.

   b. On Chart 12B, from the head of the Grand Jury Unit, to the Executive Assistant, to the District Attorney, to the People of Kings County, to the People of the State of New York.

2. Two or more positions on a chart have an upward accountability relationship (in addition to that described above in #1), if a position on the chart is connected from the middle of its box by a straight *horizontal* line to the middle of another box which is in the main vertical structure where it finds itself. For example, on Chart 13 the Construction Review Specialist has an "upward" accountability relationship with the Director of Field Operations.

3. Any two or more positions on a chart *not* in an accountability relationship between/among themselves have the following relationships between/among themselves:

   a. Any one such position can and should initiate and maintain ongoing, desirable communication and cooperation with other such positions...

   b. Any one such position may *request* the cooperation of other such positions. . .

   c. BUT no such position can *insist* that other such positions communicate and cooperate with it. If one such position fails to get the cooperation it wants, that position must have recourse UP its accountability relationships. If higher levels cannot reach an agreement in the matter, the matter must go to a position which has authority over the two or more positions involved. . .

4. Examples:

   a. On the first chart, it is evident that the Commissioner of the Department of Correction (along with *his/her* subordinate positions) does *not* have an accountability relationship with the Commissioner of the New York City Police Department and all *his/her* subordinates. If the two commissioners cannot agree on a matter, the *Mayor* has to make the decision and must instruct both of the commissioners of his/her decision.

   b. On Chart 13, the Commissioner-Chair Medical Review Board does *not* have an accountability relationship with the Executive Director (along with all *his/her* subordinates). If the two cannot agree on a matter important to one of them, the decision must be made by the Chairman State Commission of Correction, who must instruct the disagreeing parties of his/her decision.

   c. On Chart 2, the Counsel (along with all *his/her* subordinate staff) does *not* have an accountability relationship with the Deputy Executive Director. Disagreement must be settled by the Acting Executive Director who makes the decision and instructs the two disagreeing officials of his/her decision.

The purpose of these accountability charts is *not* to fix blame for the entangled mesh in which our citizens have found their criminal justice system; the purpose is to untangle the parts of that system so that our city's criminal justice system may improve its services to our city.

The League of Women Voters of the City of New York Education Fund is indebted to Dr. William Wachs, who served as a consultant and to Dr. Grace Wachs who chaired the chart sub-committee of the Criminal Justice Committee, and to the following members of the criminal justice system of our city who served as resource persons on this project: Hon. Betty Weinberg Ellerin, Deputy Administrative Judge, New York City Courts; Jay Cohen, Esq. and Helen Neuborne, Esq., Counsels to the Coordinator of Criminal Justice, Office of the Mayor of New York City; Clay Hiles, Esq., Director, and Frank Sergi, Esq., Administrative Assistant, New York City Criminal Justice Agency; Frank Santucci, Esq., District Attorney of Queens County and his assistants who were always available to answer our many related questions; and to the heads of the entities charted and their executive assistants who provided the charts, answered our questions and cooperated in more ways than can be recounted. The League of Women Voters of the City of New York Education Fund is also indebted to the Research and Development Operations of Wang Laboratories, Inc. in Lowell, Massachusetts for its technical assistance in the word processing and typesetting of the guides and the office automation graphics for the organization charts.

Lorraine W. Addelston, Editor

ORGANIZATION CHARTS SHOWING ACCOUNTABILITY RELATIONSHIPS IN THE NEW YORK CITY CRIMINAL JUSTICE SYSTEM

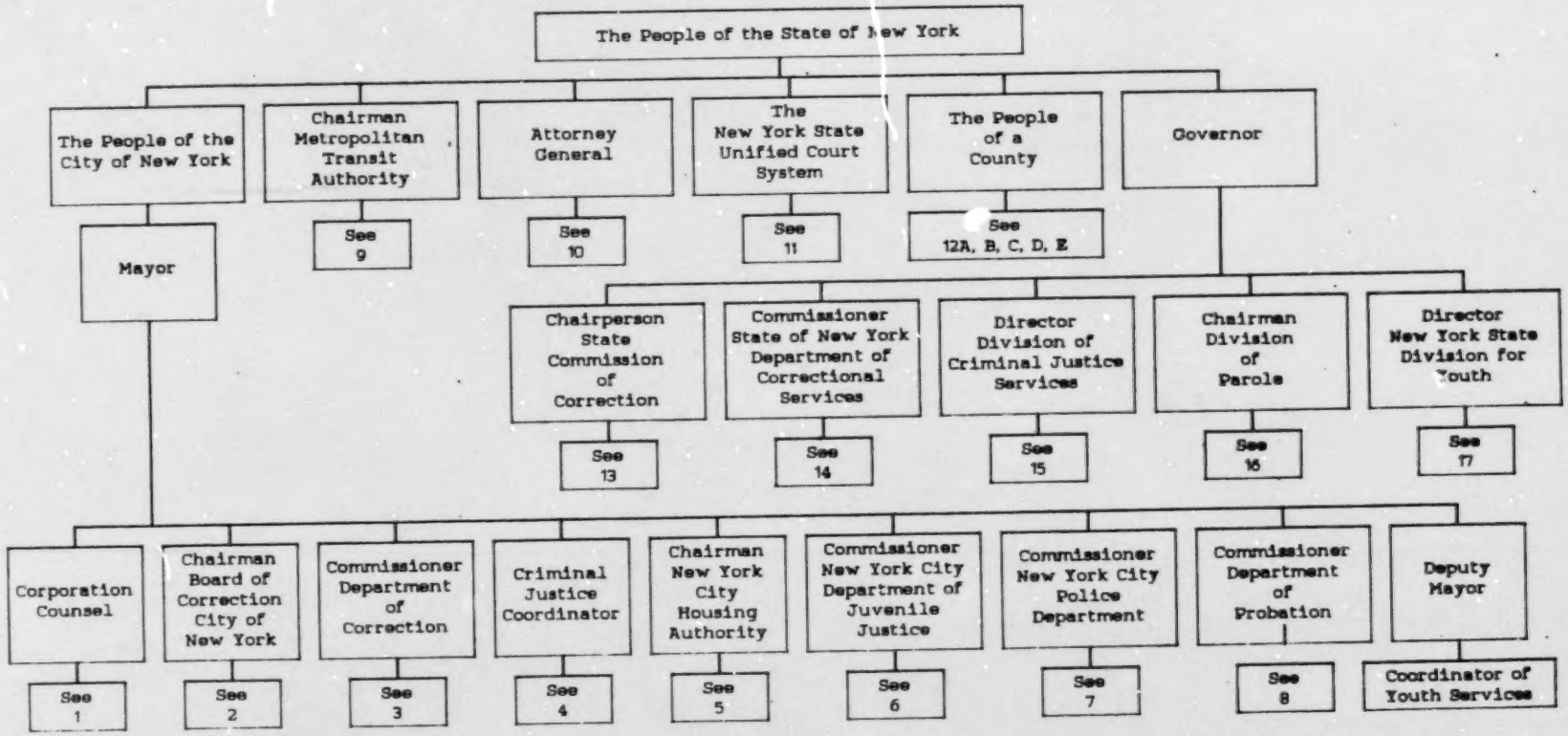

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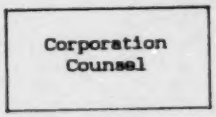
Chart 1



The chart for this office has been requested.

Chart 2

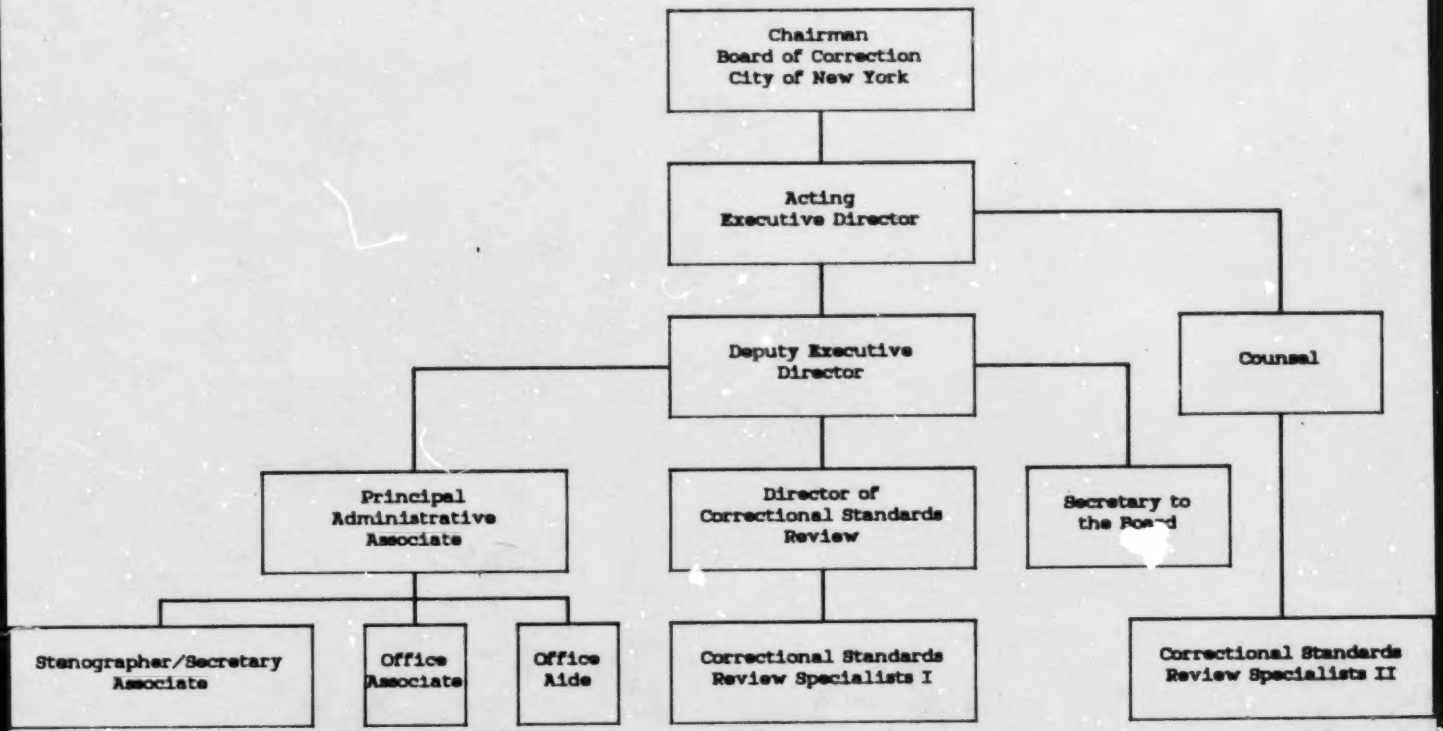


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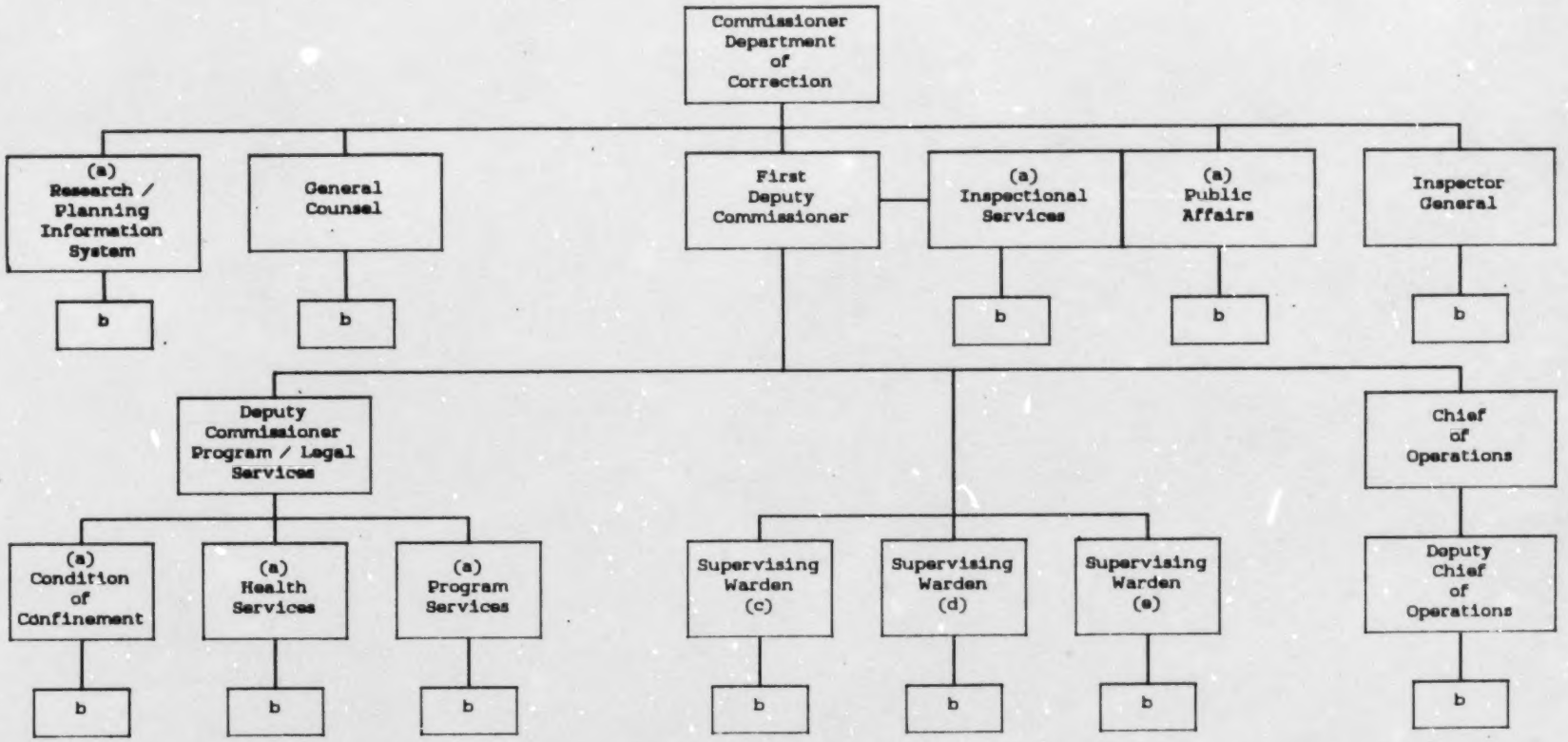
Chart 3



(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.
(c) Brooklyn; HDM (the meaning of this has been requested); Queens; Bronx.
(d) Manhattan; CIFM; Trans. Division; Rikers Island Security; MCRF (the meaning of each abbreviation has been requested).
(e) ARDC; CIFW; AMRC; Hospital (the meaninining of each abbreviation has been requested).

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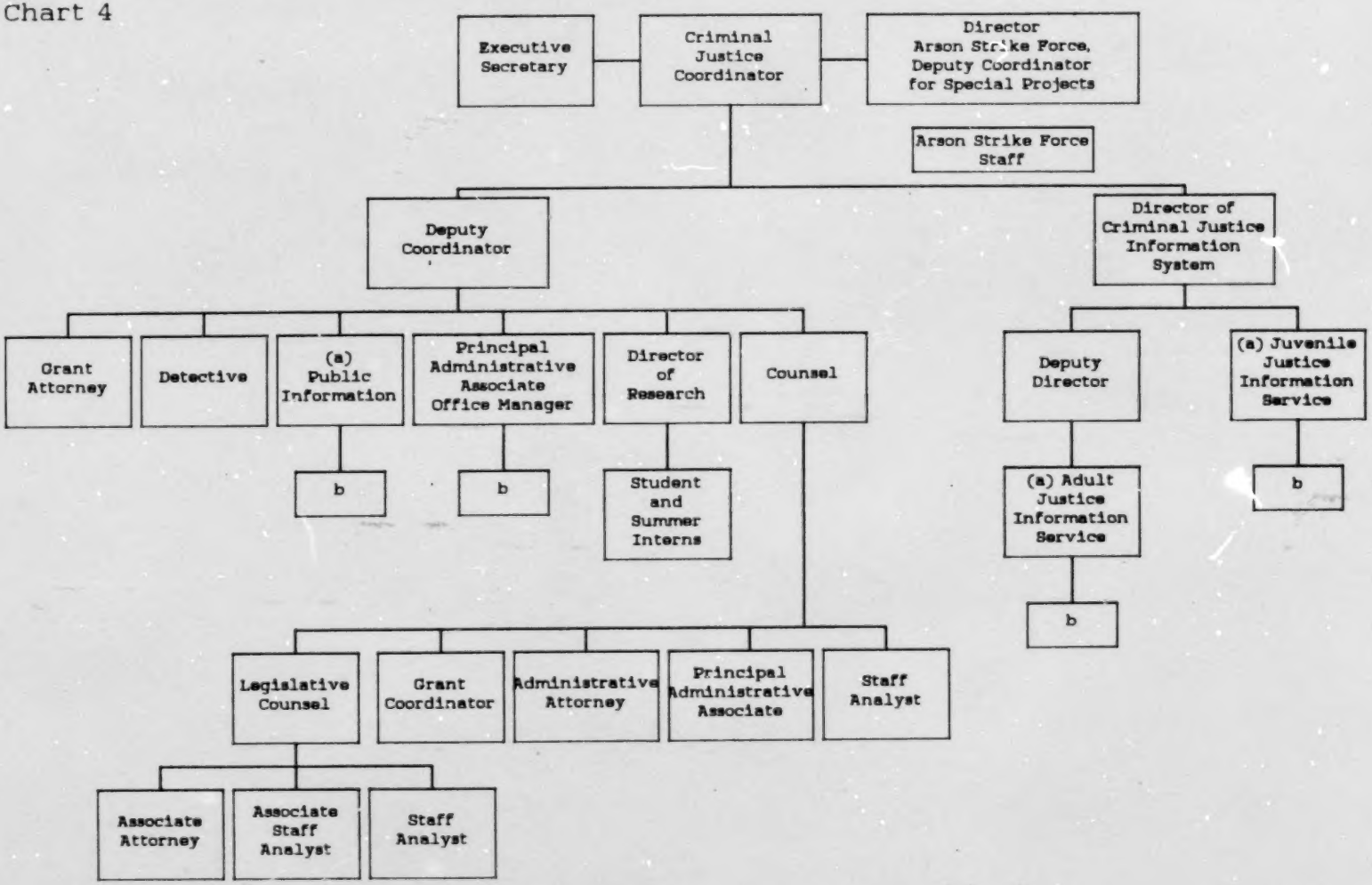
Chart 4



(a) The title of the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.

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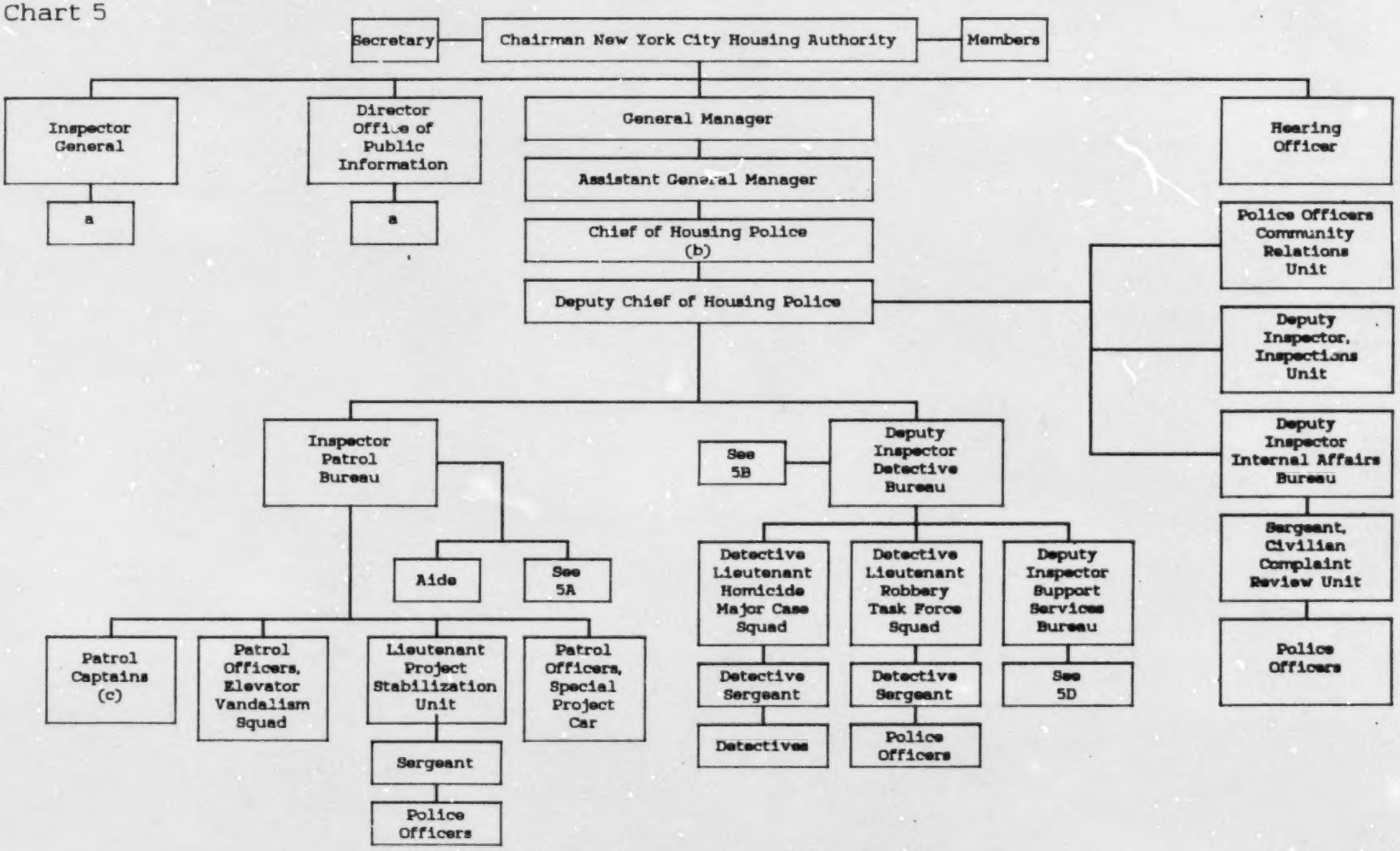
Chart 5



(a) Positions (with titles) from here on down have been requested.
(b) Coordinates delivery of police services with the Commissioner New York City Police Department.
(c) Assigned to serve as Watch Commanders, at specified times, over Patrol Forces.

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Chart 5A

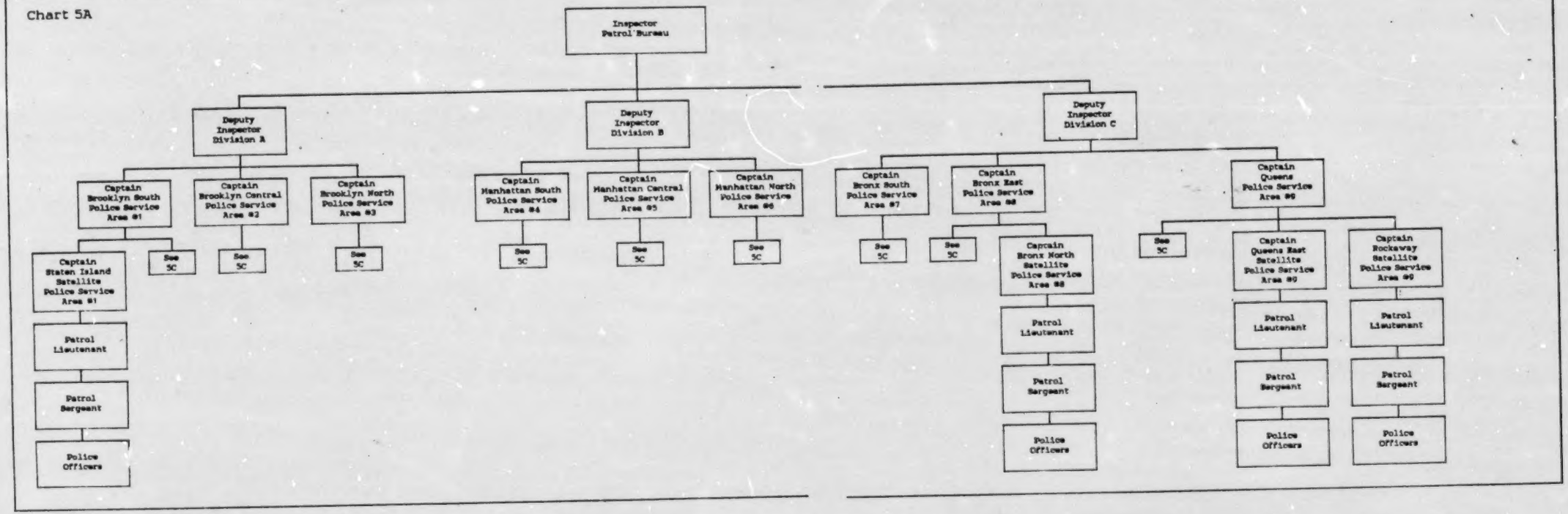
Inspector
Patrol Bureau
Deputy Inspector Division A
Deputy Inspector Division B
Deputy Inspector Division C
Captain Brooklyn South Police Service Area #1
Captain Brooklyn Central Police Service Area #2
Captain Brooklyn North Police Service Area #3
Captain Manhattan South Police Service Area #4
Captain Manhattan Central Police Service Area #5
Captain Manhattan North Police Service Area #6
Captain Bronx South Police Service Area #7
Captain Bronx East Police Service Area #8
Captain Queens Police Service Area #10
Captain Staten Island Satellite Police Service Area #1
See 5C
Patrol Lieutenant
Patrol Sergeant
Police Officers
Captain Bronx North Satellite Police Service Area #8
Captain Queens East Satellite Police Service Area #10
Captain Rockaway Satellite Police Service Area #9

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Chart 5B

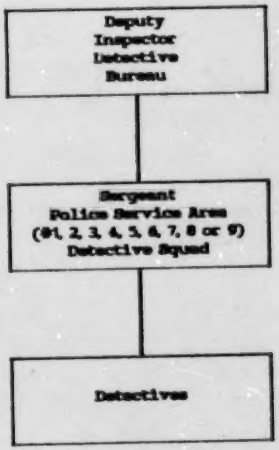

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Chart 5C

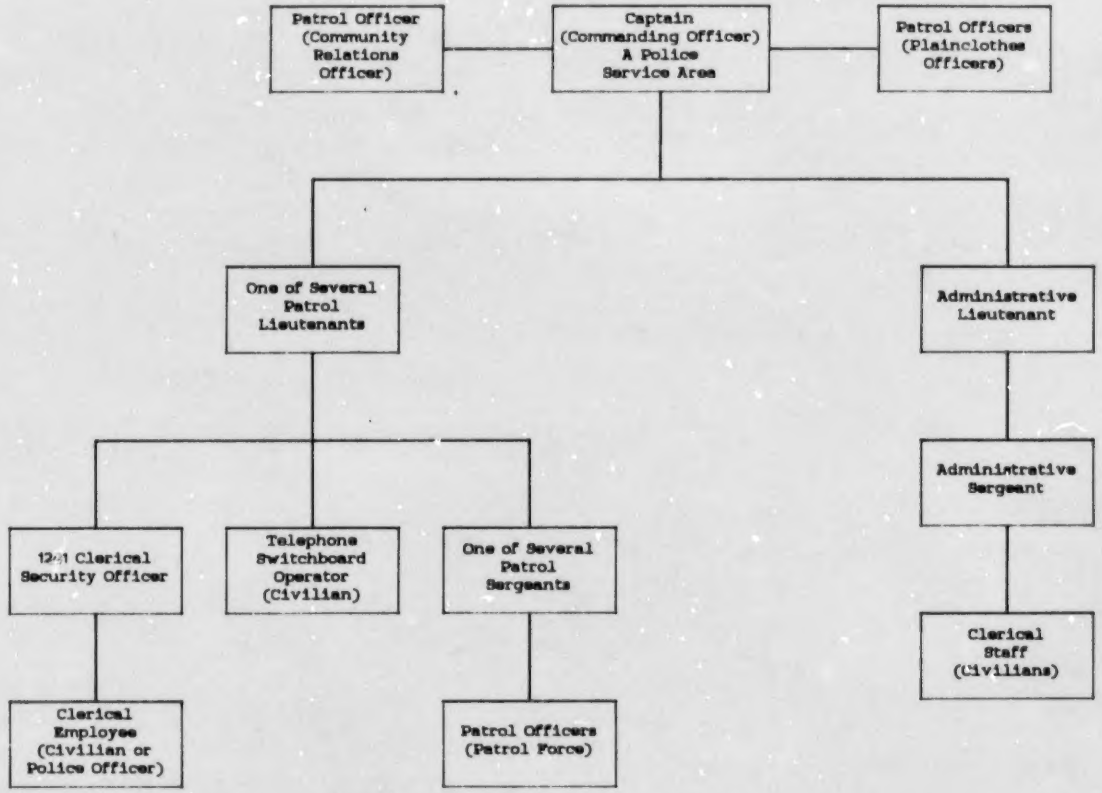
Patrol Officer (Community Relations Officer)
Captain (Commanding Officer) A Police Service Area
Patrol Officers (Plainclothes Officers)
One of Several Patrol Lieutenants
Administrative Lieutenant
12-1 Clerical Security Officer
Telephone Switchboard Operator (Civilian)
One of Several Patrol Sergeants
Administrative Sergeant
Clerical Staff (Civilians)
Clerical Employee (Civilian or Police Officer)
Patrol Officers (Patrol Force)

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Chart 5D

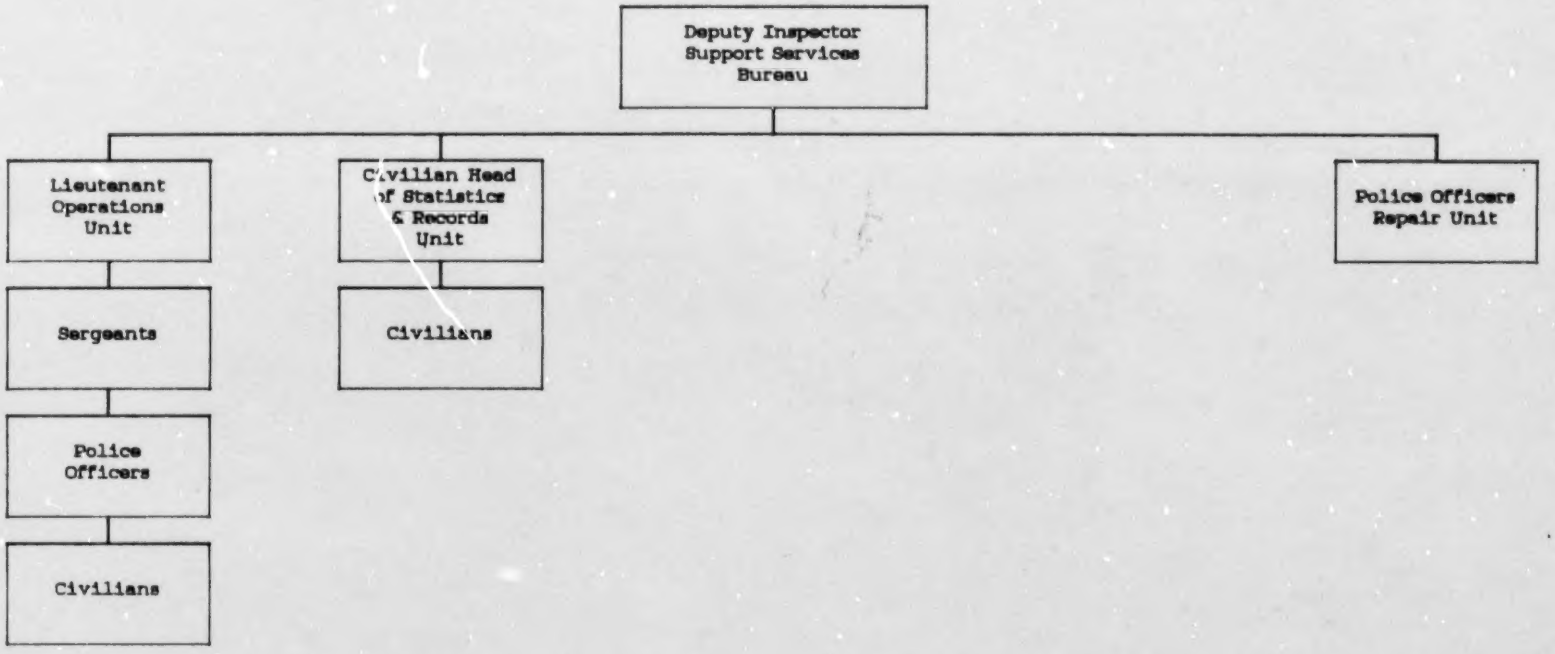

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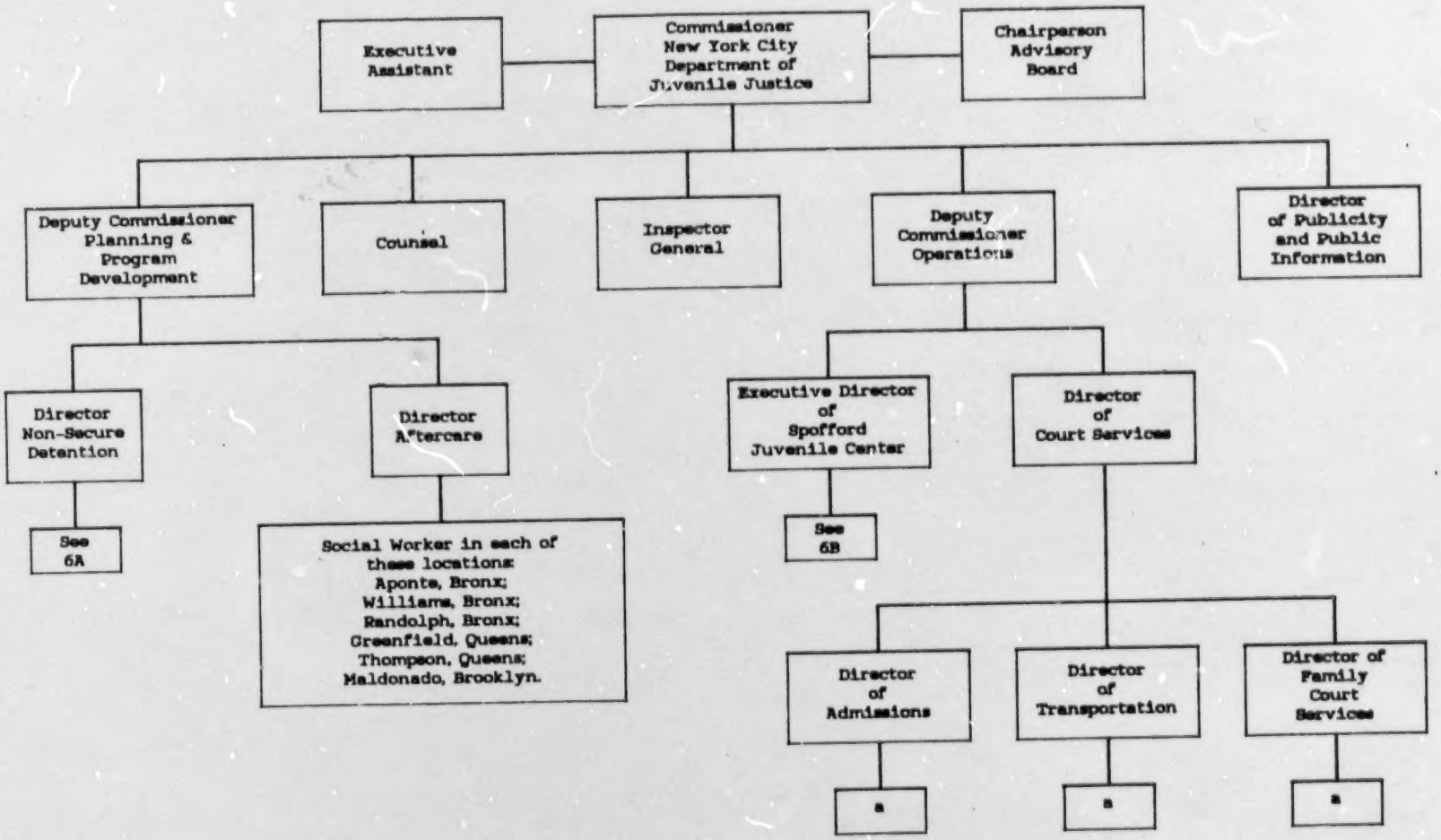
Chart 6


Executive Assistant
Commissioner New York City Department of Juvenile Justice
Chairperson Advisory Board
Deputy Commissioner Planning & Program Development
Counsel
Inspector General
Deputy Commissioner Operations
Director of Publicity and Public Information
Director Non-Secure Detention
Director Aftercare
Executive Director of Spofford Juvenile Center
Director of Court Services
See 6A
Social Worker in each of these locations: Aponte, Bronx; Williams, Bronx; Randolph, Bronx; Greenfield, Queens; Thompson, Queens; Maldonado, Brooklyn.
See 6B
Director of Admissions
Director of Transportation
Director of Family Court Services
a
a
a

(a) The rest of this line has been requested

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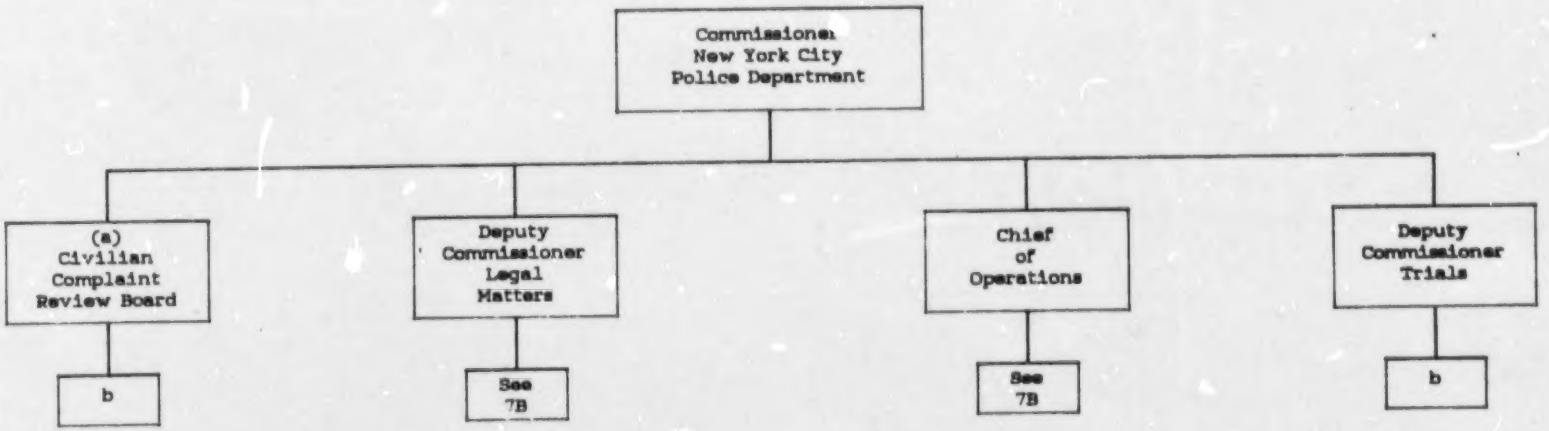
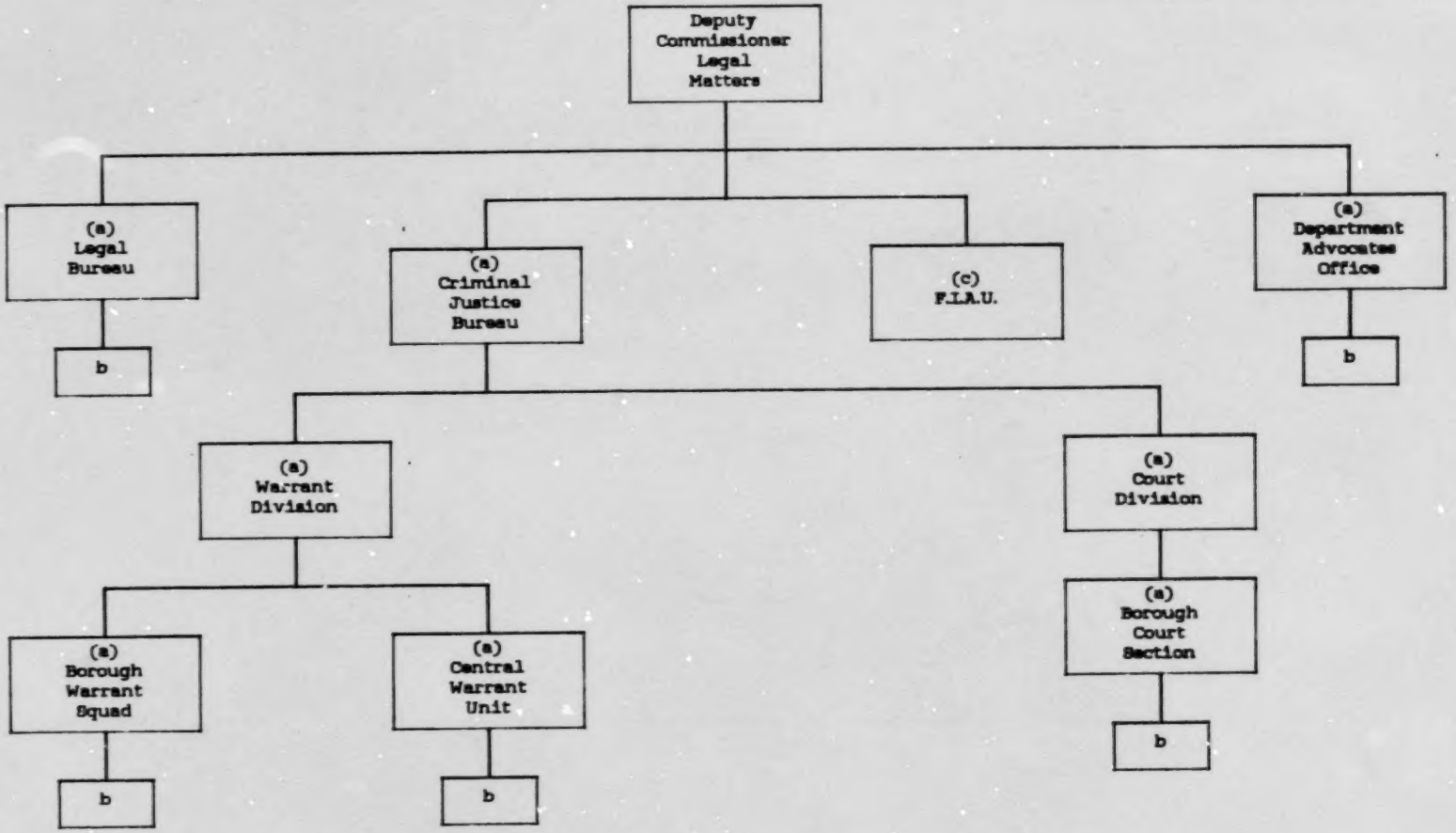
Chart 7



(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.

Chart 7A



(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.
(c) The meaning of this abbreviation has been requested.

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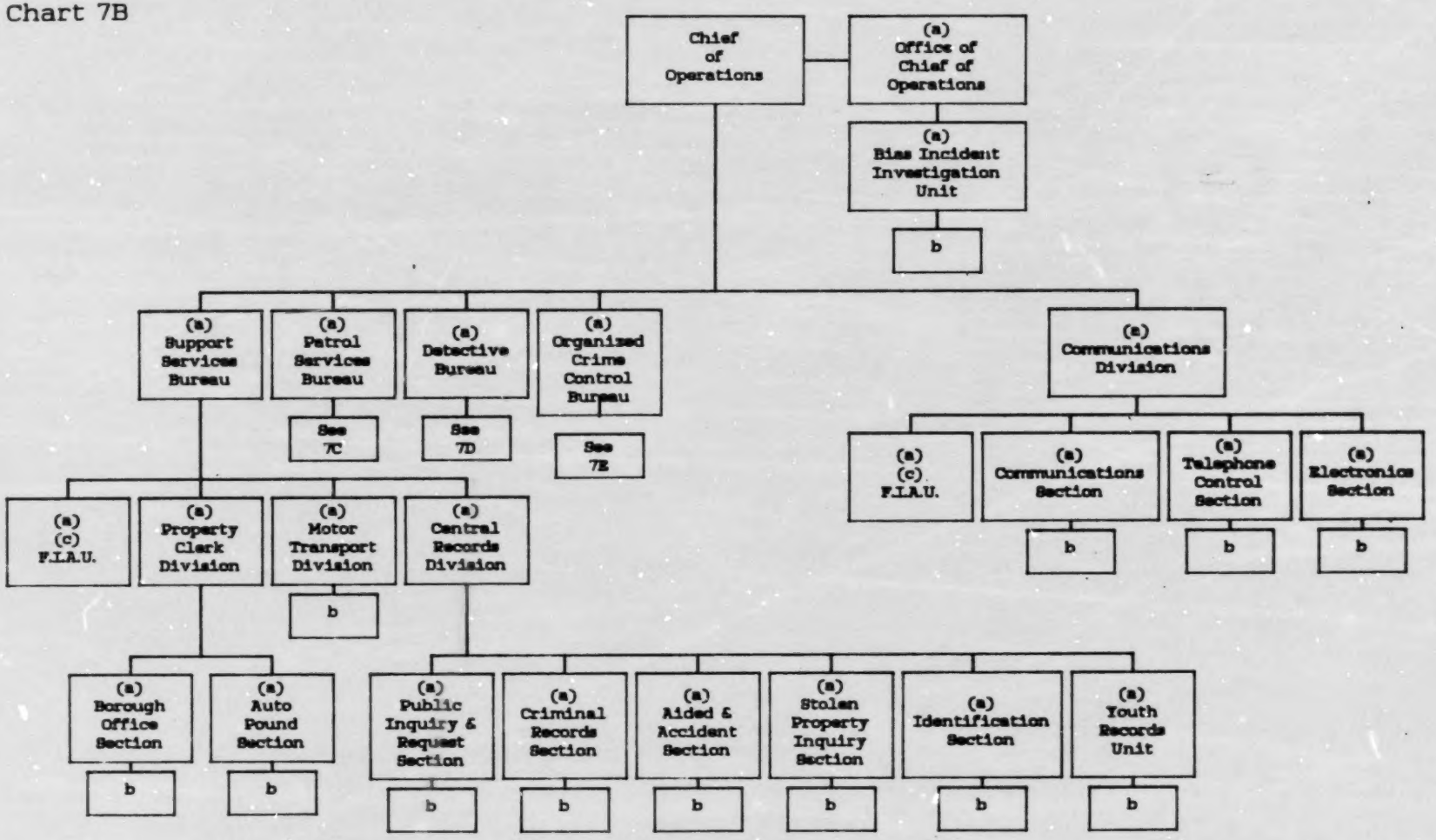
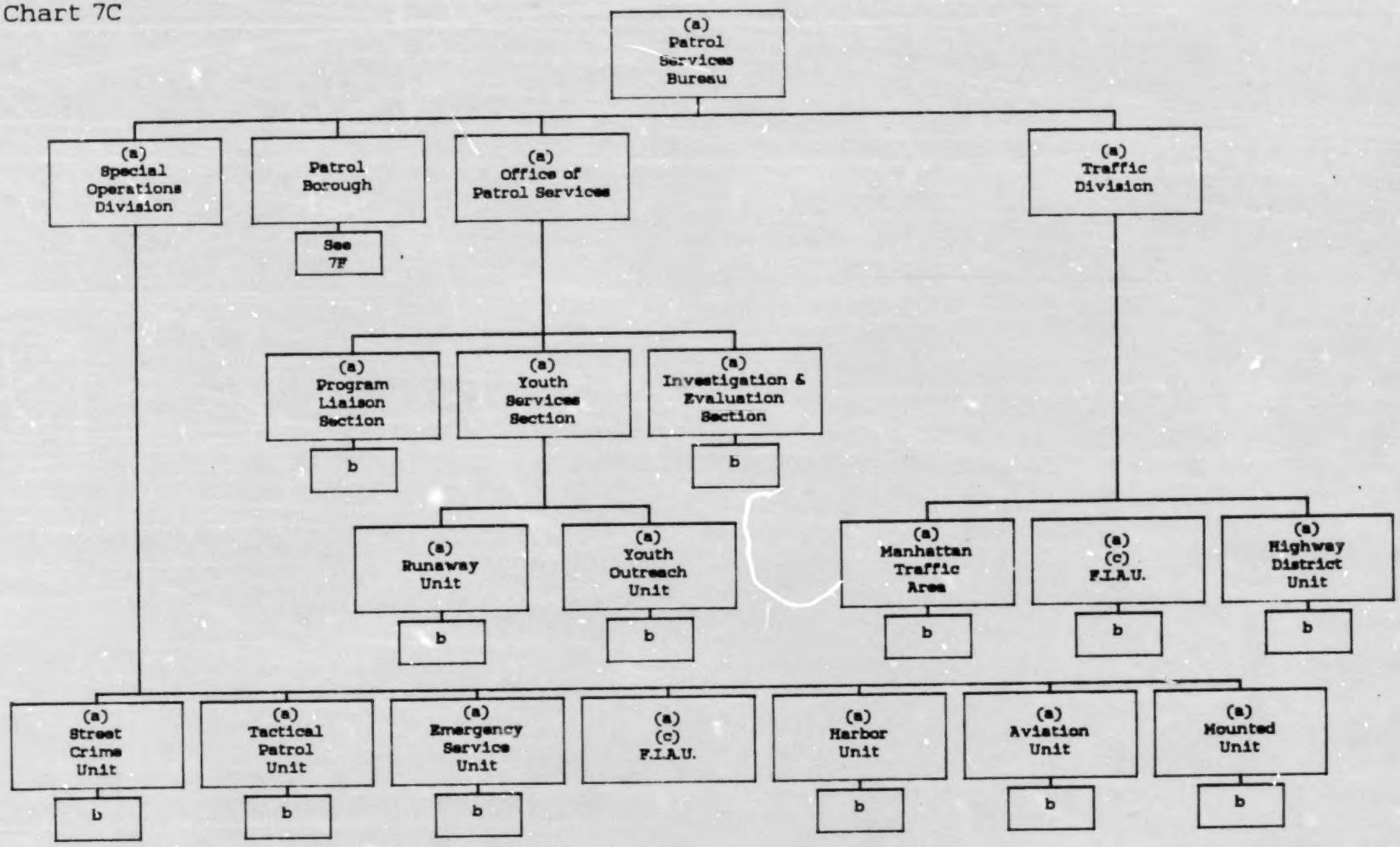
Chart 7B



(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.
(c) The meaning of this abbreviation has been requested.

Chart 7C



(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.
(c) The meaning of this abbreviation has been requested.

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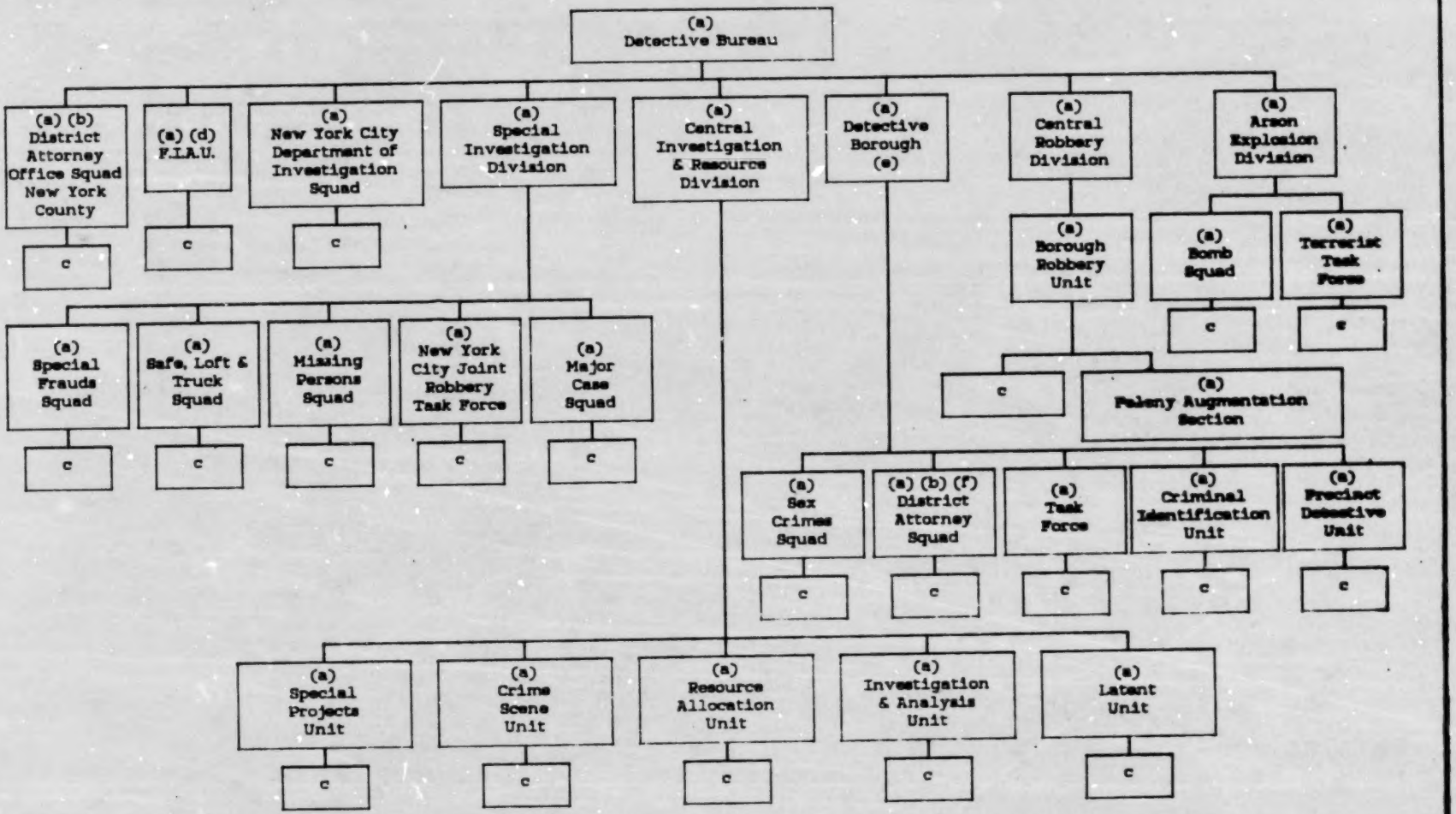
Chart 7D



(a) The title for the head of this position has been requested.
(b) Accountability for only Police Department relationships.
(c) Positions (with titles) from here on down have been requested.
(d) The meaning of this abbreviation has been requested.
(e) Manhattan, Bronx, Queens or Brooklyn.
(f) Except Manhattan

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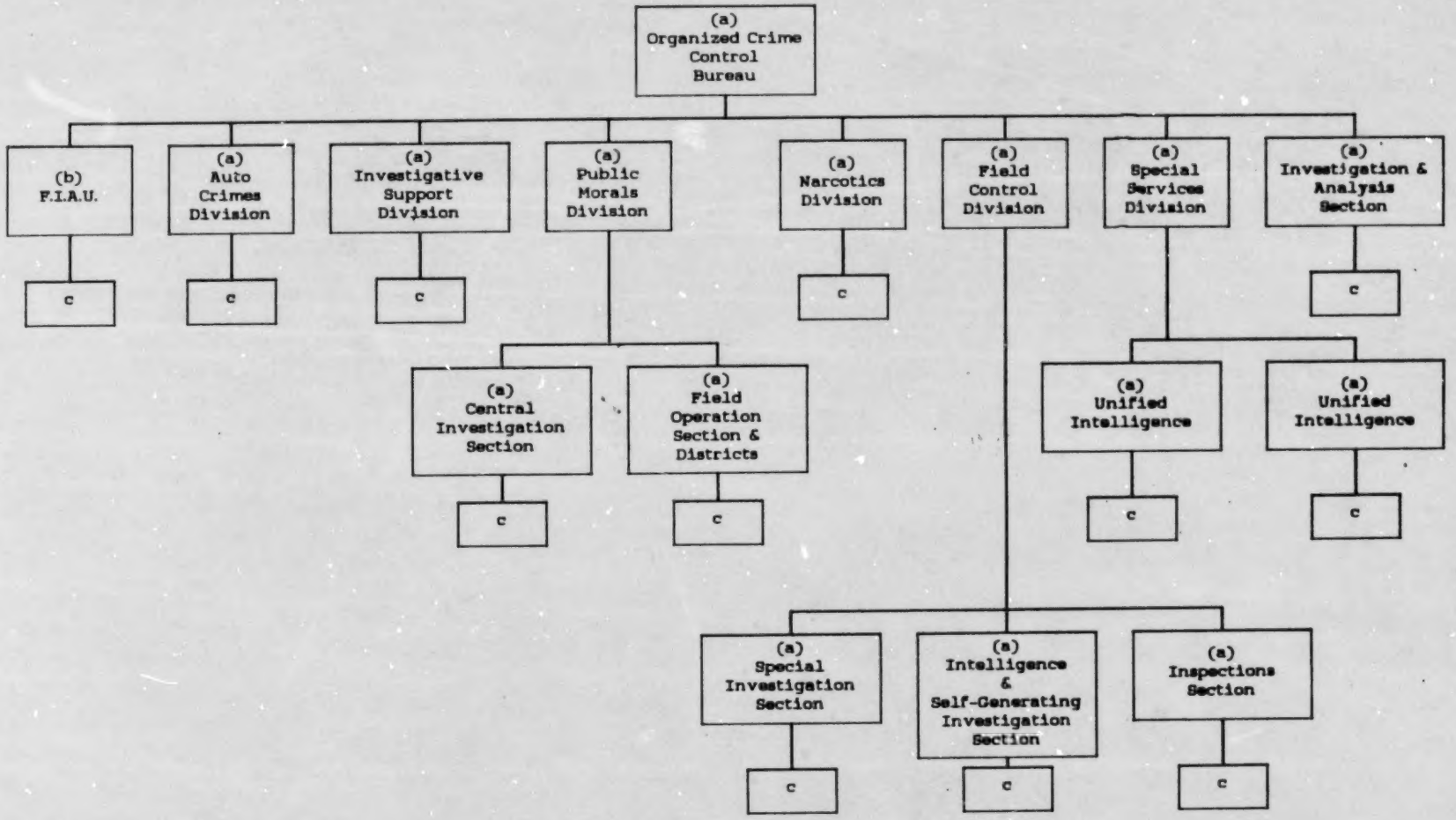
Chart 7E



(a) The title for the head of this position has been requested.
(b) The meaning of this abbreviation has been requested.
(c) Positions (with titles) from here on down have been requested.

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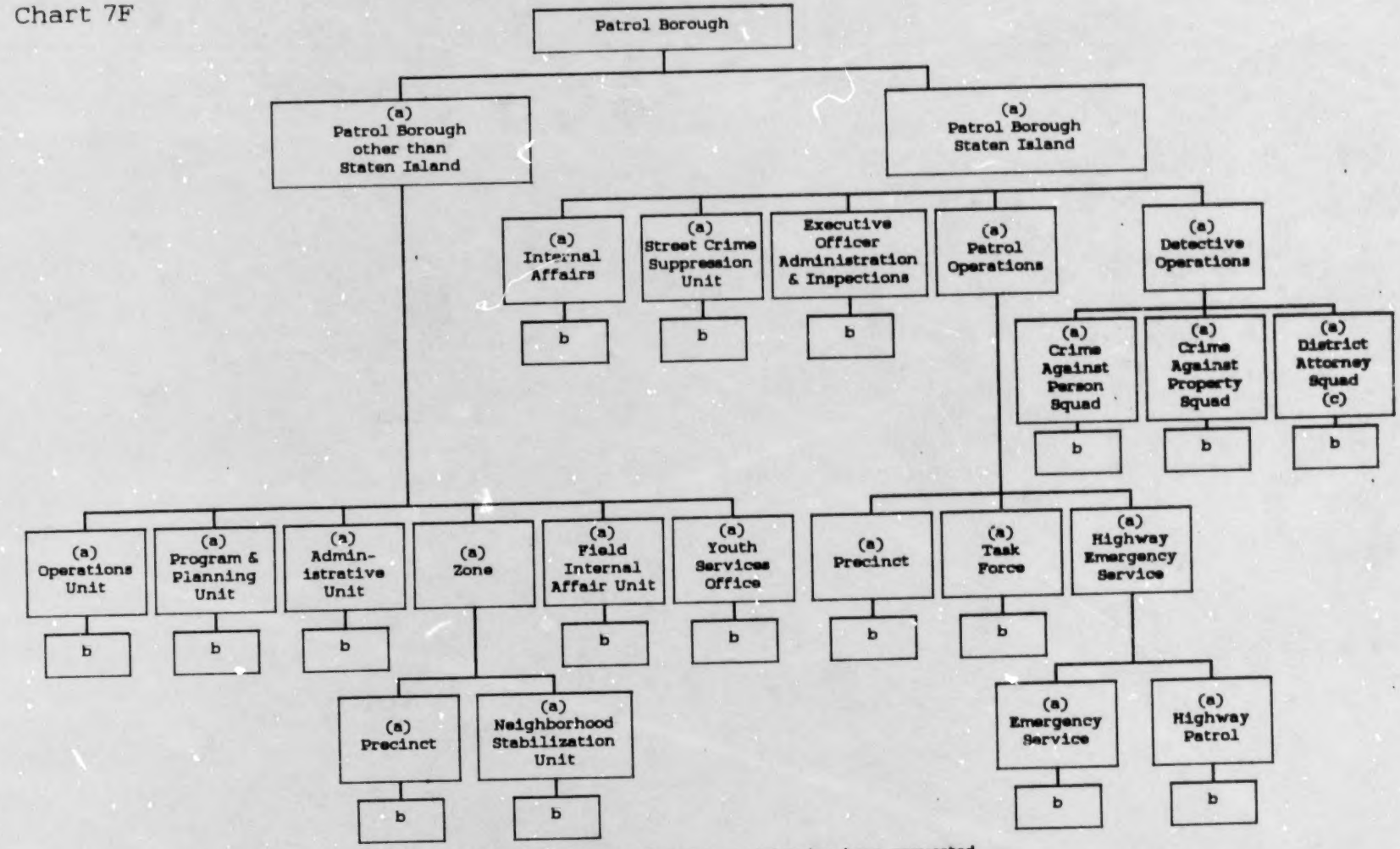
Chart 7F



(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.
(c) Accountability for only Police Department relationships.

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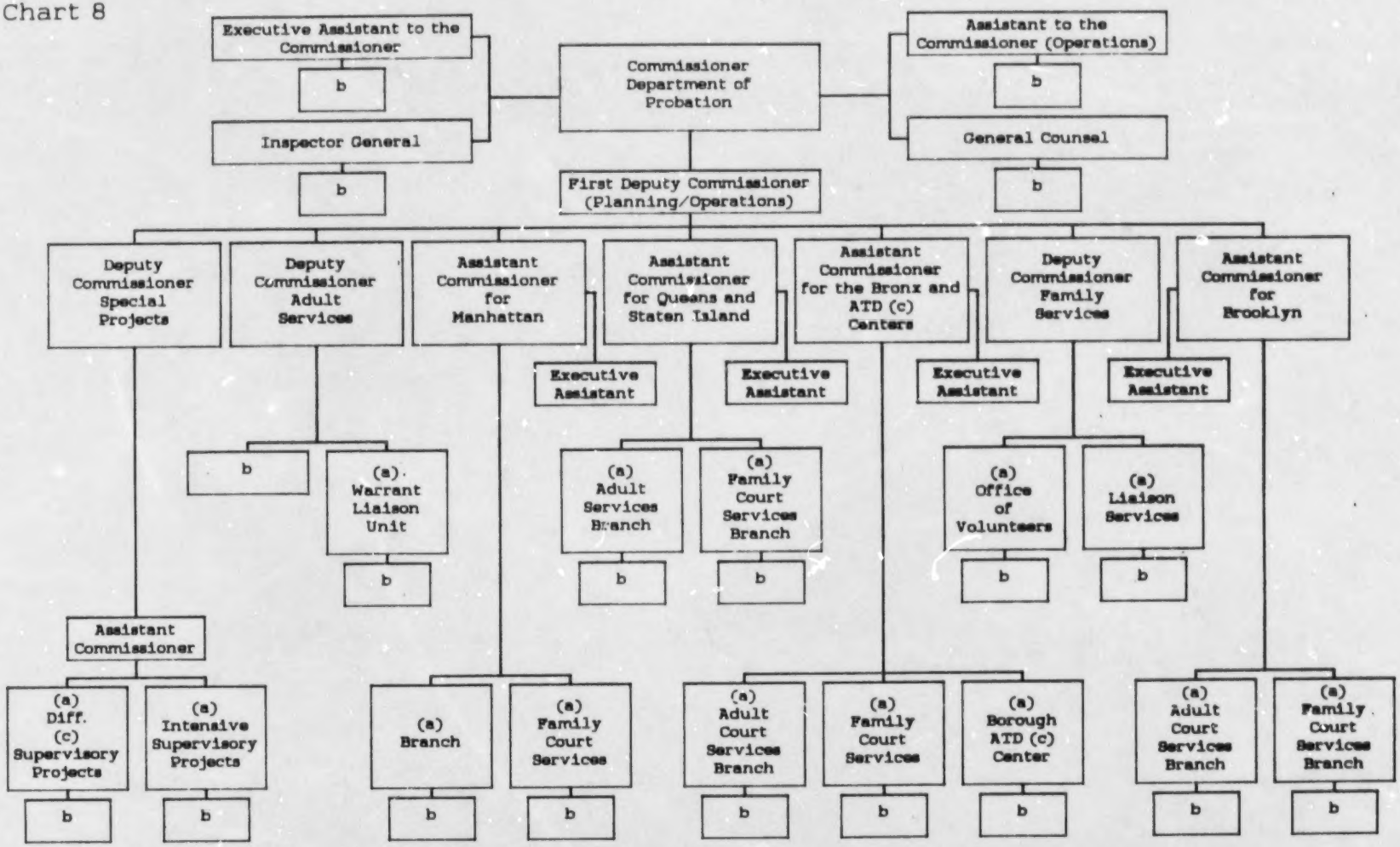
Chart 8



(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.
(c) The meaning of this abbreviation has been requested.

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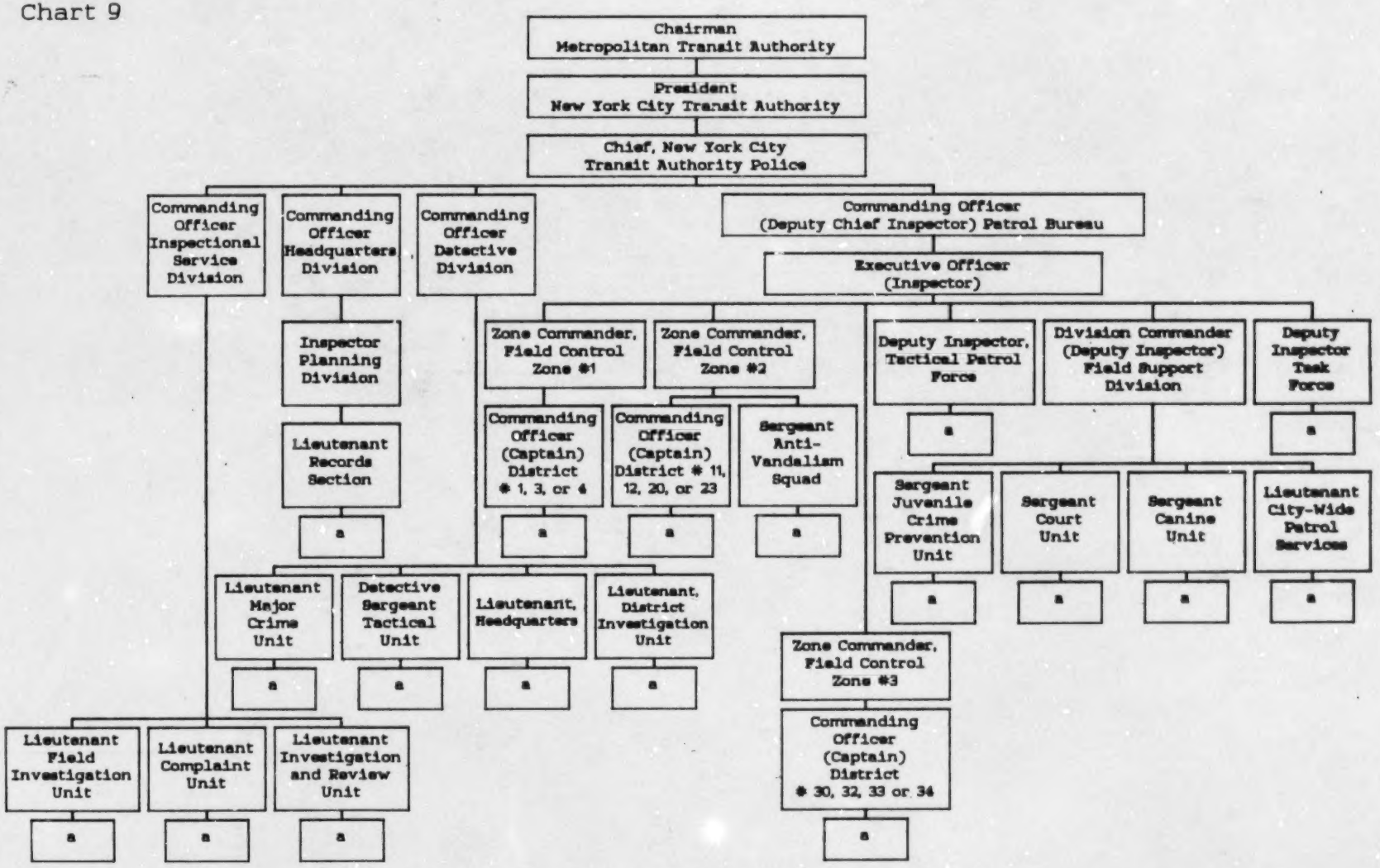
Chart 9


Chairman
Metropolitan Transit Authority
President
New York City Transit Authority
Chief, New York City
Transit Authority Police
Commanding Officer Inspectional Service Division
Commanding Officer Headquarters Division
Commanding Officer Detective Division
Commanding Officer (Deputy Chief Inspector) Patrol Bureau
Executive Officer (Inspector)
Inspector Planning Division
Lieutenant Records Section
a
Zone Commander, Field Control Zone #1
Zone Commander, Field Control Zone #2
Commanding Officer (Captain) District # 1, 3, or 4
Commanding Officer (Captain) District # 11, 12, 20, or 23
Sergeant Anti-Vandalism Squad
Deputy Inspector, Tactical Patrol Force
Division Commander (Deputy Inspector) Field Support Division
Deputy Inspector Task Force
Sergeant Juvenile Crime Prevention Unit
Sergeant Court Unit
Sergeant Canine Unit
Lieutenant City-Wide Patrol Services
Lieutenant Major Crime Unit
Detective Sergeant Tactical Unit
Lieutenant, Headquarters
Lieutenant, District Investigation Unit
Zone Commander, Field Control Zone #3
Commanding Officer (Captain) District # 30, 32, 33 or 34
Lieutenant Field Investigation Unit
Lieutenant Complaint Unit
Lieutenant Investigation and Review Unit

(a) Positions (with titles) from here on down have been requested.

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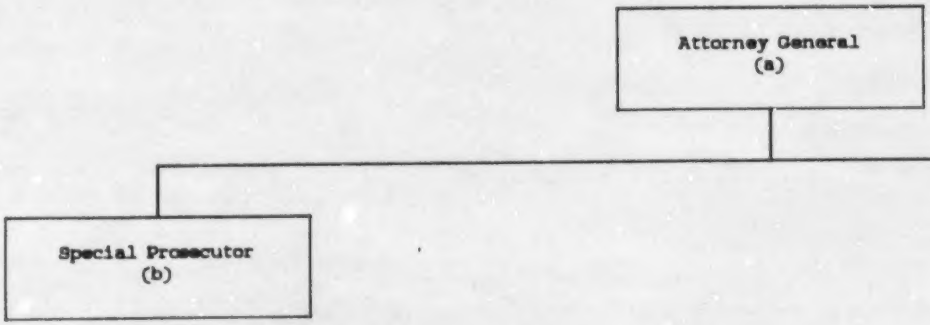
Chart 10



(a) The attorney general's office has been asked for a chart.
(b) The is the only additional information currently available.

Chart 11

New York State Unified Court System

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The chart for this organization has been requested.

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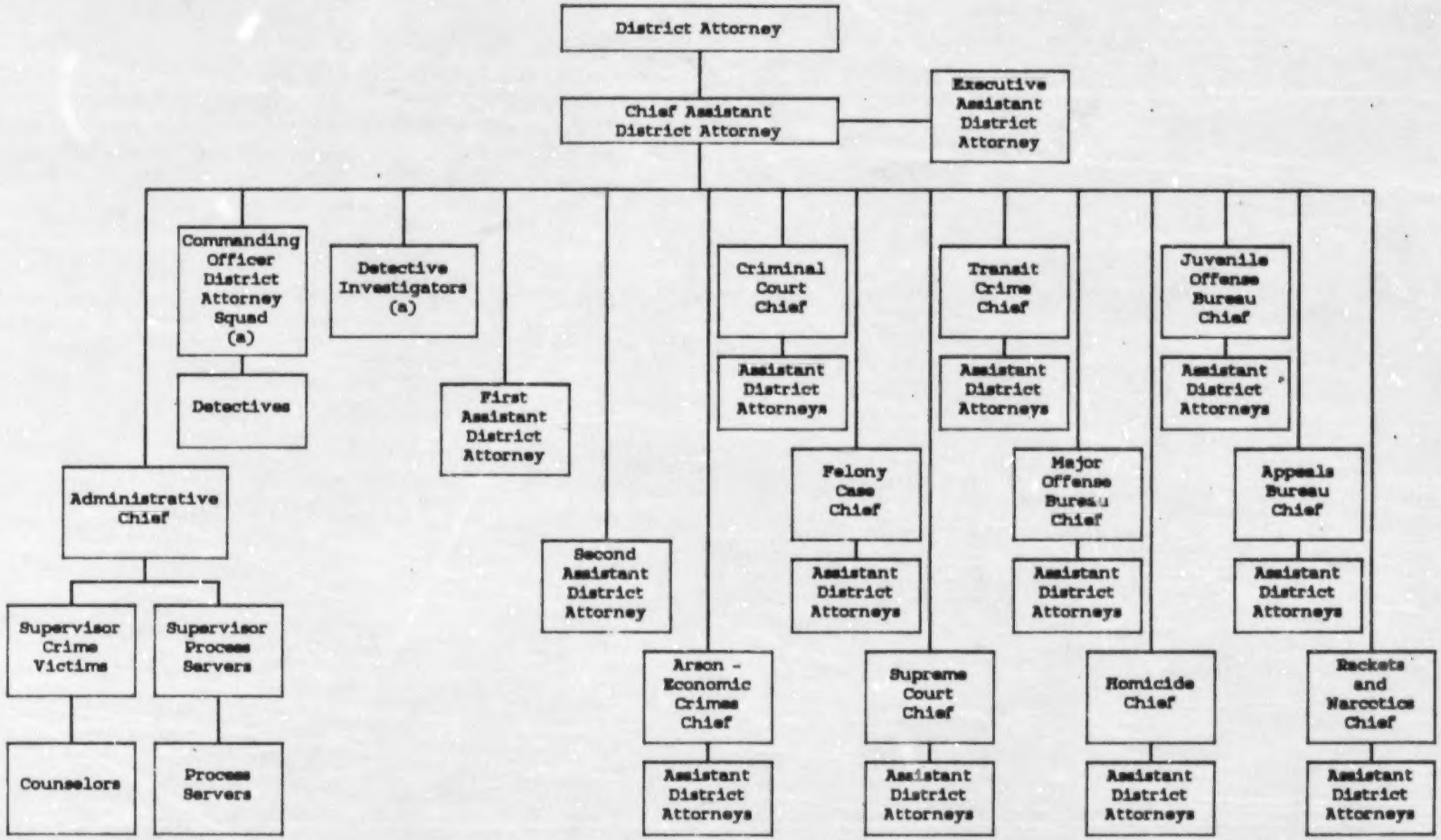
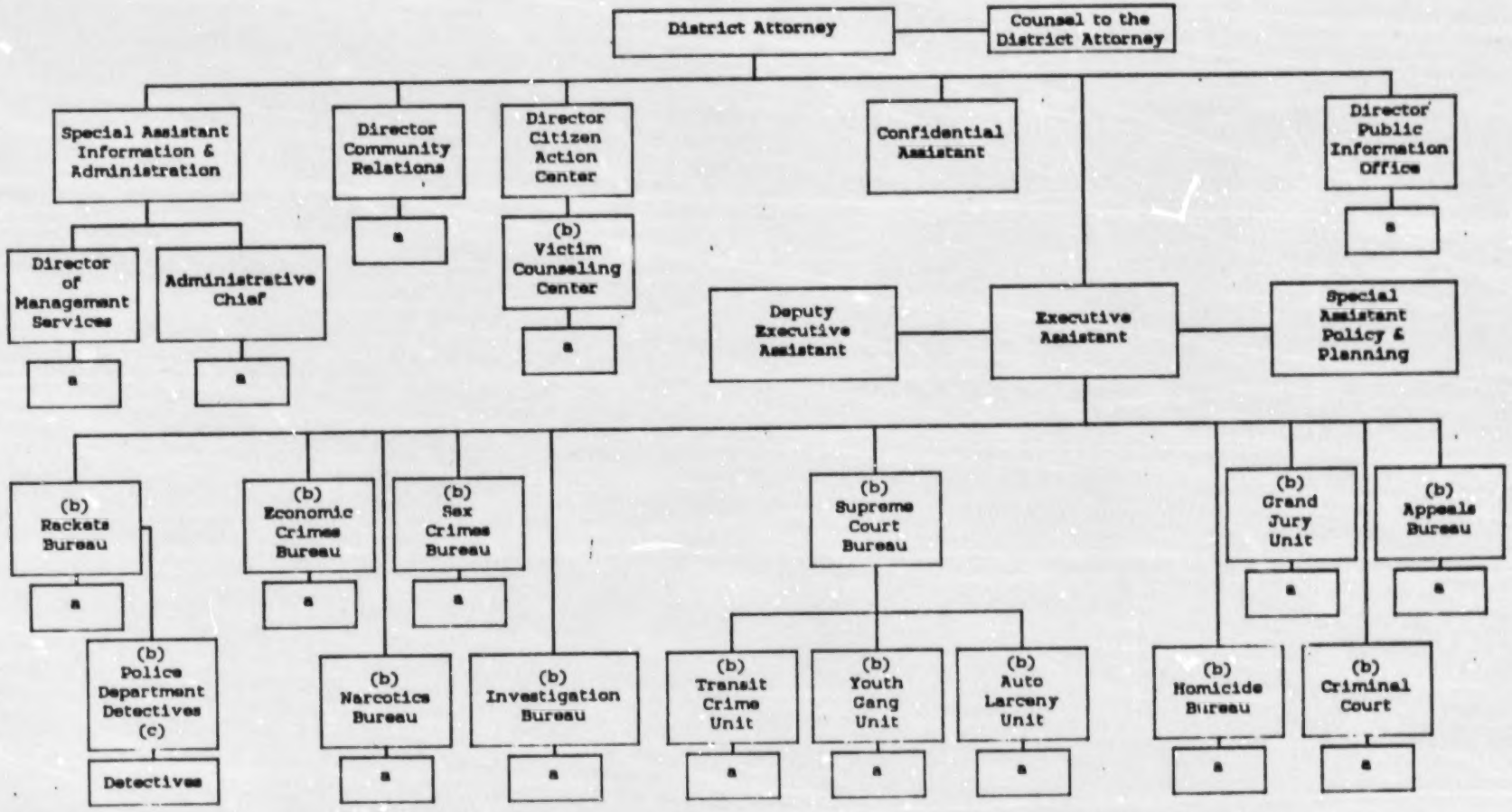
Chart 12A - Bronx County



(a) Accountability for ONLY DISTRICT ATTORNEY relationships

Chart 12B - Kings County



(a) Positions (with titles) from here on down have been requested.
(b) The title for the head of this position has been requested.
(c) Accountability for ONLY DISTRICT ATTORNEY relationships.

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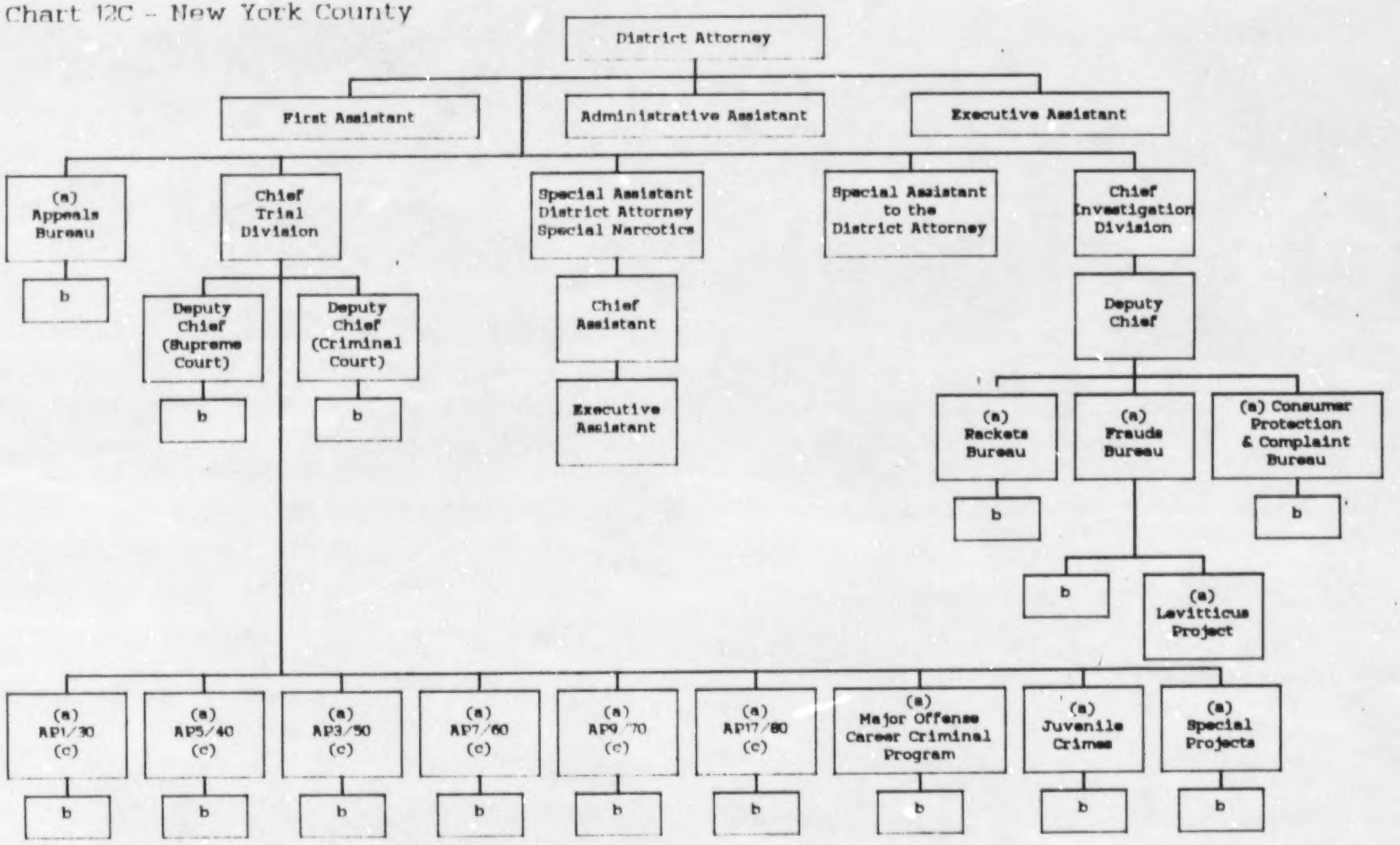
Chart 12C - New York County



(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.
(c) The meaning of this abbreviation has been requested.

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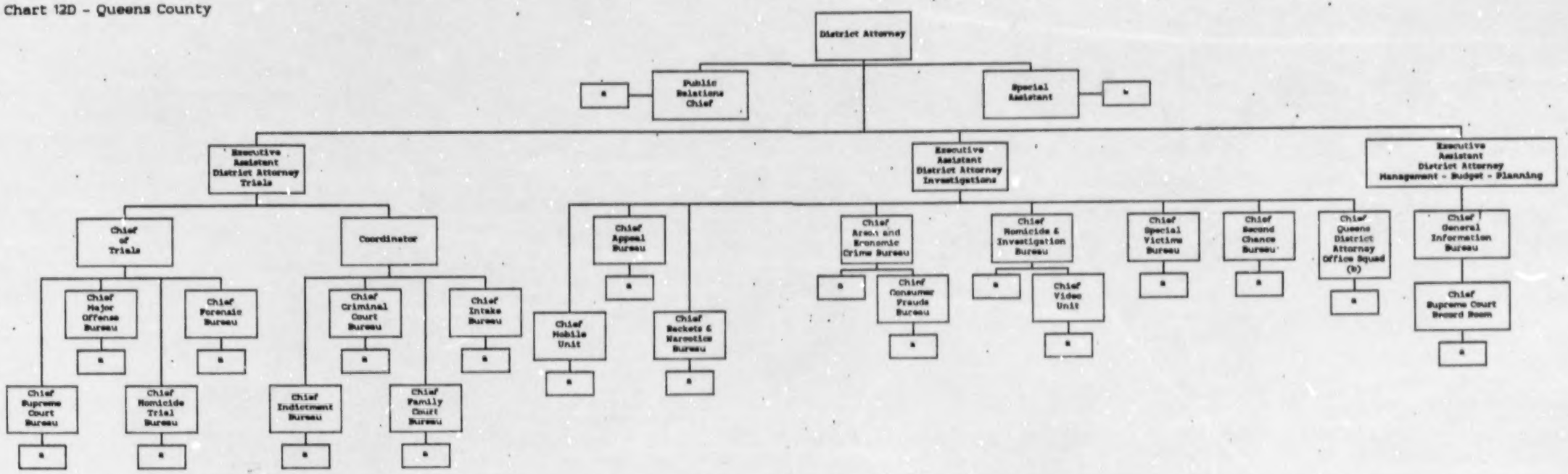
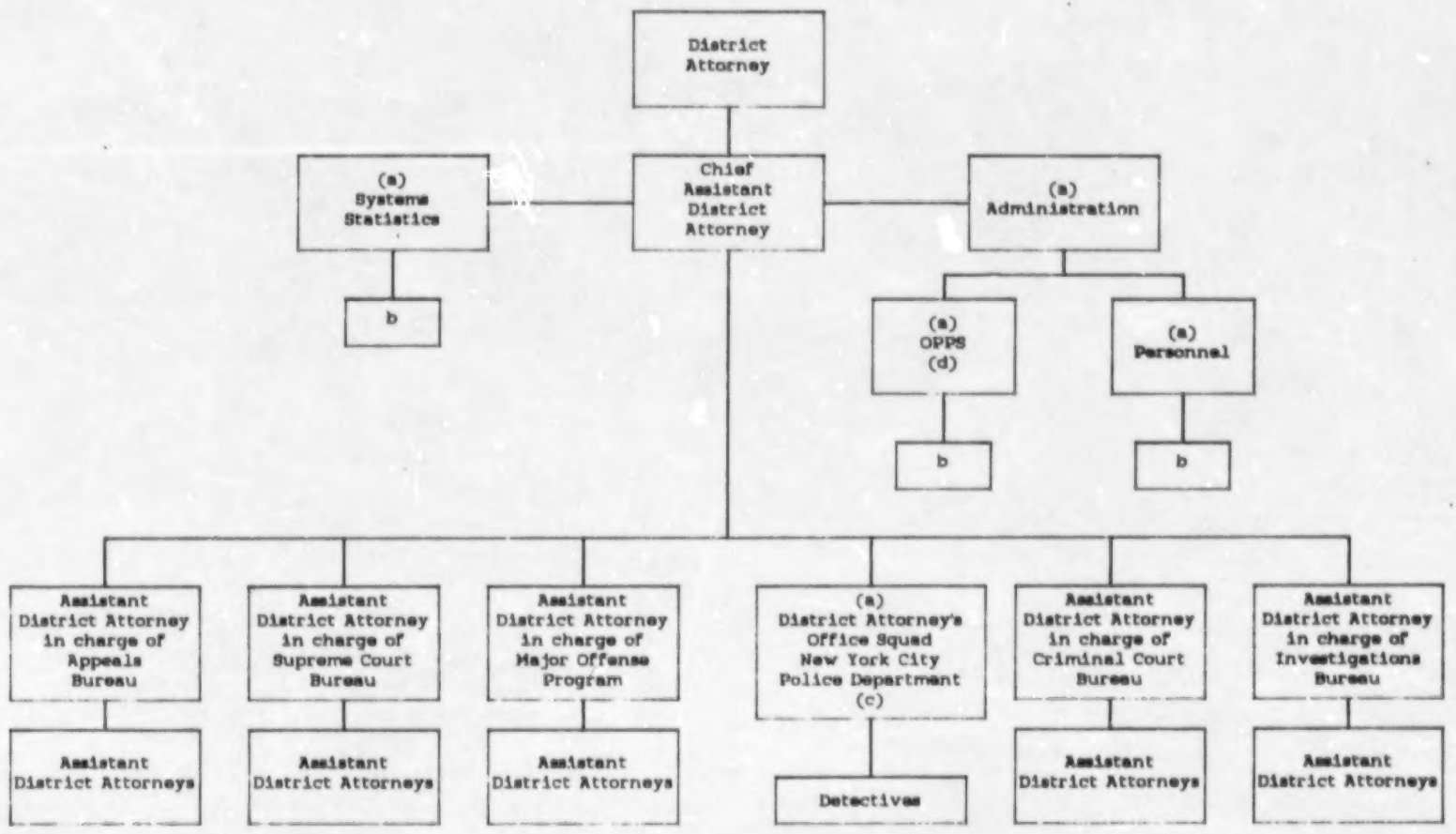
Chart 12D - Queens County



(a) Positions (with titles) from here on down have been requested
(b) Accountability for ONLY DISTRICT ATTORNEY responsibilities

Chart 12E - Richmond County


District Attorney
(a) Systems Statistics
b
Chief Assistant District Attorney
(a) Administration
(a) OPPS (d)
b
(a) Personnel
b
Assistant District Attorney in charge of Appeals Bureau
Assistant District Attorneys
Assistant District Attorney in charge of Supreme Court Bureau
Assistant District Attorneys
Assistant District Attorney in charge of Major Offense Program
Assistant District Attorneys
(a) District Attorney's Office Squad New York City Police Department (c)
Detectives
Assistant District Attorney in charge of Criminal Court Bureau
Assistant District Attorneys
Assistant District Attorney in charge of Investigations Bureau
Assistant District Attorneys

(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.
(c) Accountability for ONLY DISTRICT ATTORNEY relationships.
(d) The meaning of this abbreviation has been requested.

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Chart 13

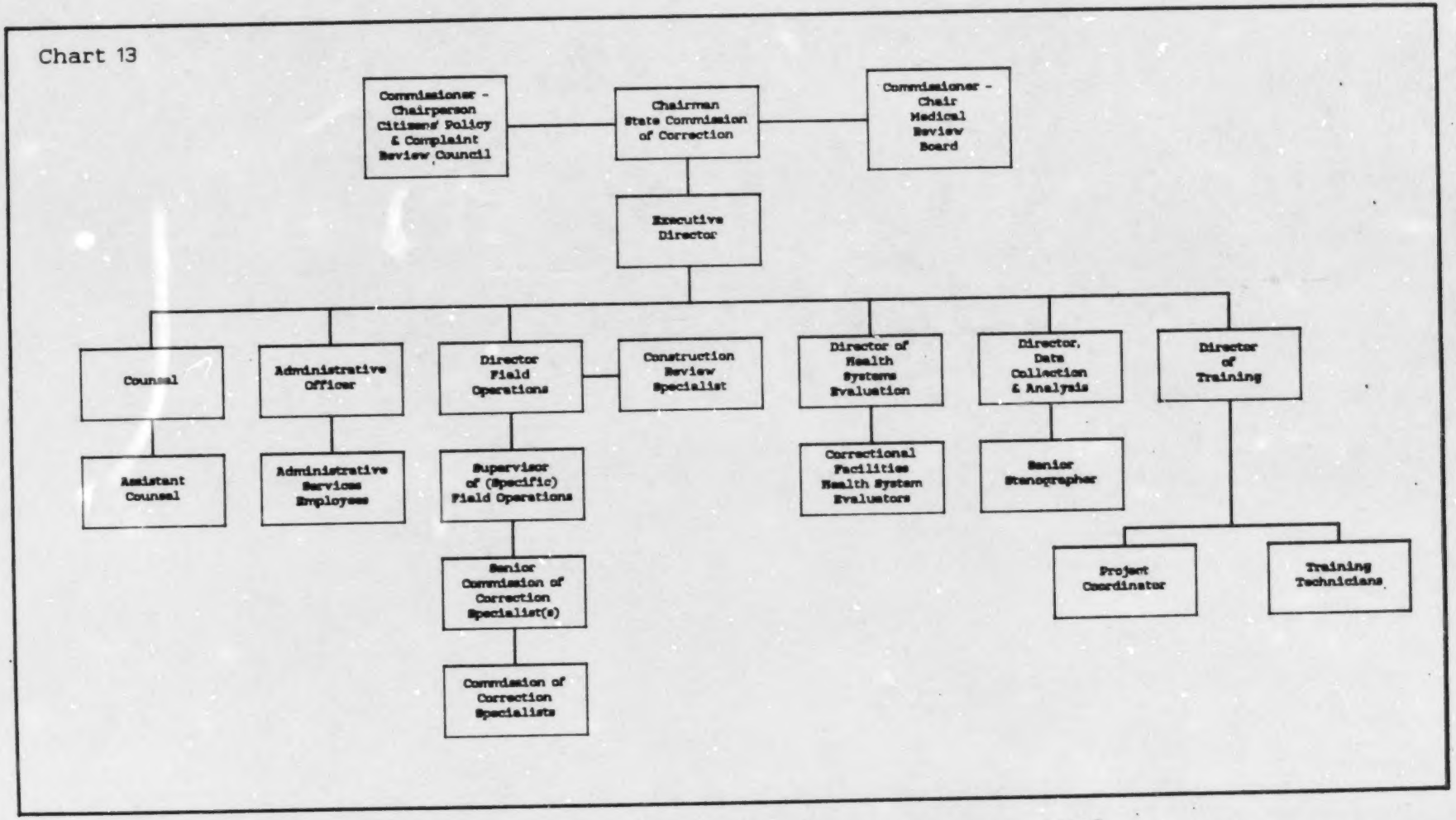

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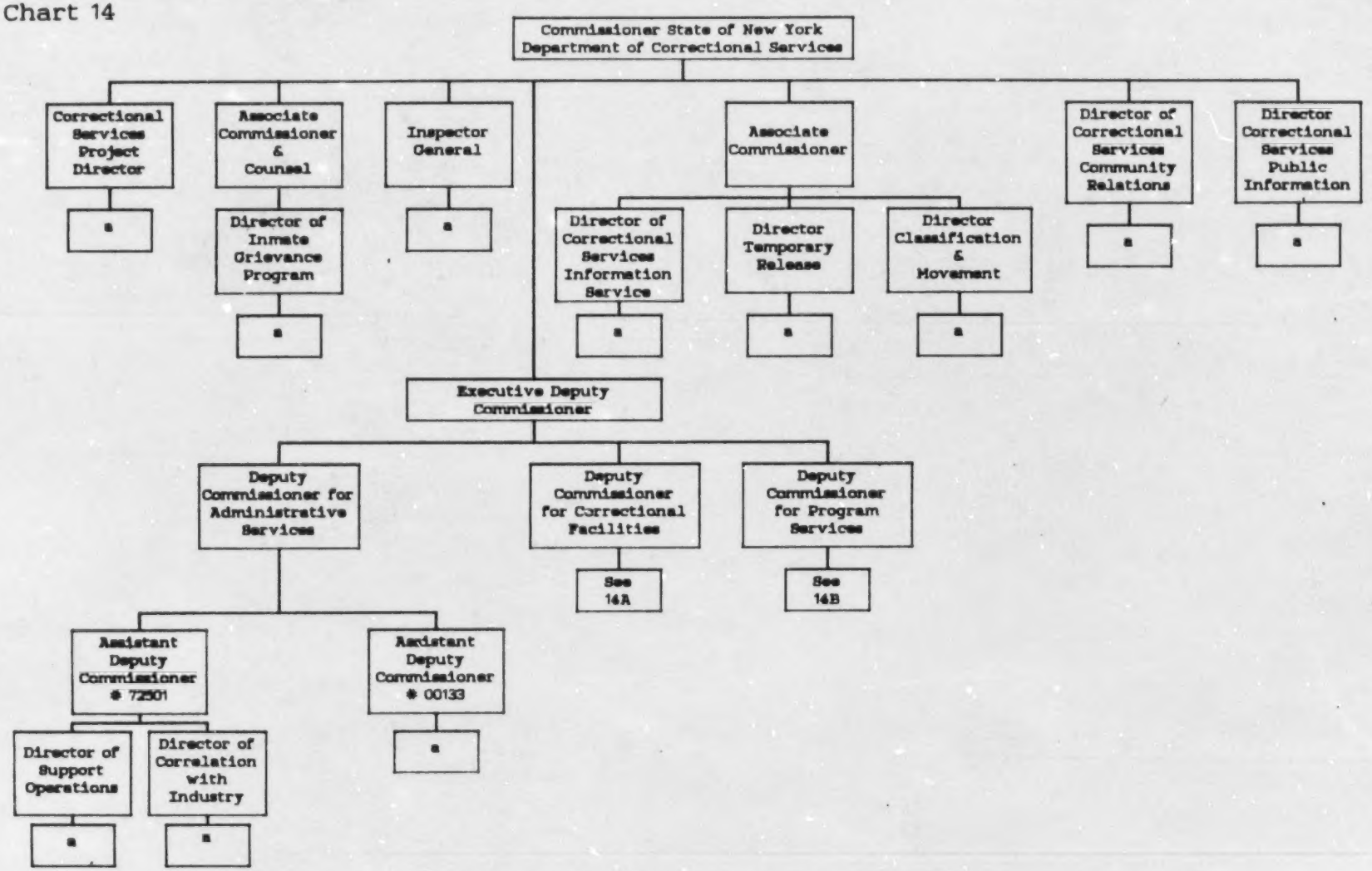
Chart 14


Commissioner State of New York Department of Correctional Services
Correctional Services Project Director
Associate Commissioner & Counsel
Inspector General
Associate Commissioner
Director of Correctional Services Community Relations
Director Correctional Services Public Information
a
Director of Inmate Grievance Program
Director of Correctional Services Information Service
Director Temporary Release
Director Classification & Movement
Executive Deputy Commissioner
Deputy Commissioner for Administrative Services
Deputy Commissioner for Correctional Facilities
Deputy Commissioner for Program Services
See 14A
See 14B
Assistant Deputy Commissioner # 72501
Assistant Deputy Commissioner # 00133
Director of Support Operations
Director of Correlation with Industry

(a) Positions (with titles) from here on down have been requested

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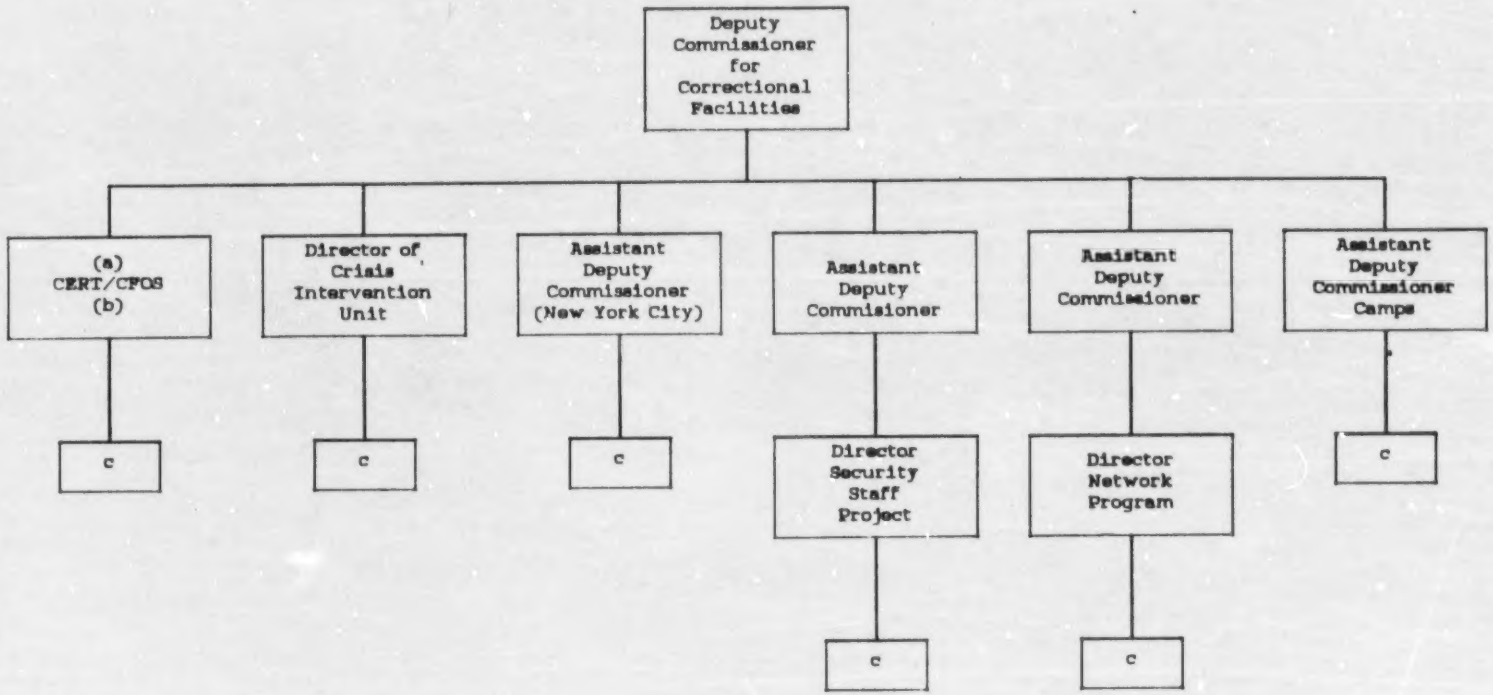
Chart 14A



(a) The title for the head of this position has been requested.
(b) The meaning of this abbreviation has been requested.
(c) Positions (with titles) from here on down have been requested.

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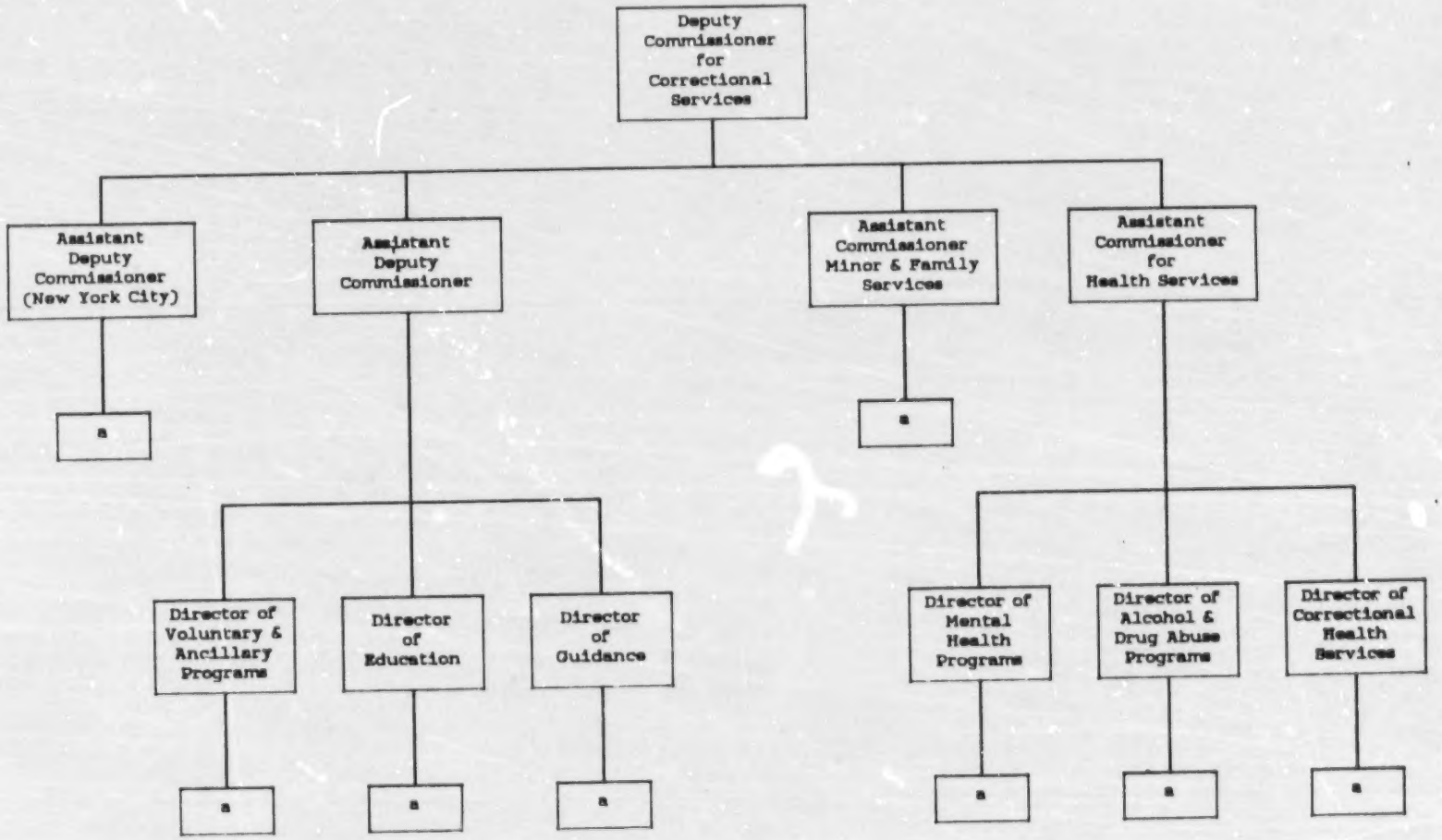
Chart 14B



(a) Positions (with titles) from here on down have been requested.

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Chart 15

Director
Division of
Criminal Justice Services
(a)

BEST COPY AVAILABLE

(a) This chart has been requested of the Division.

Chart 16

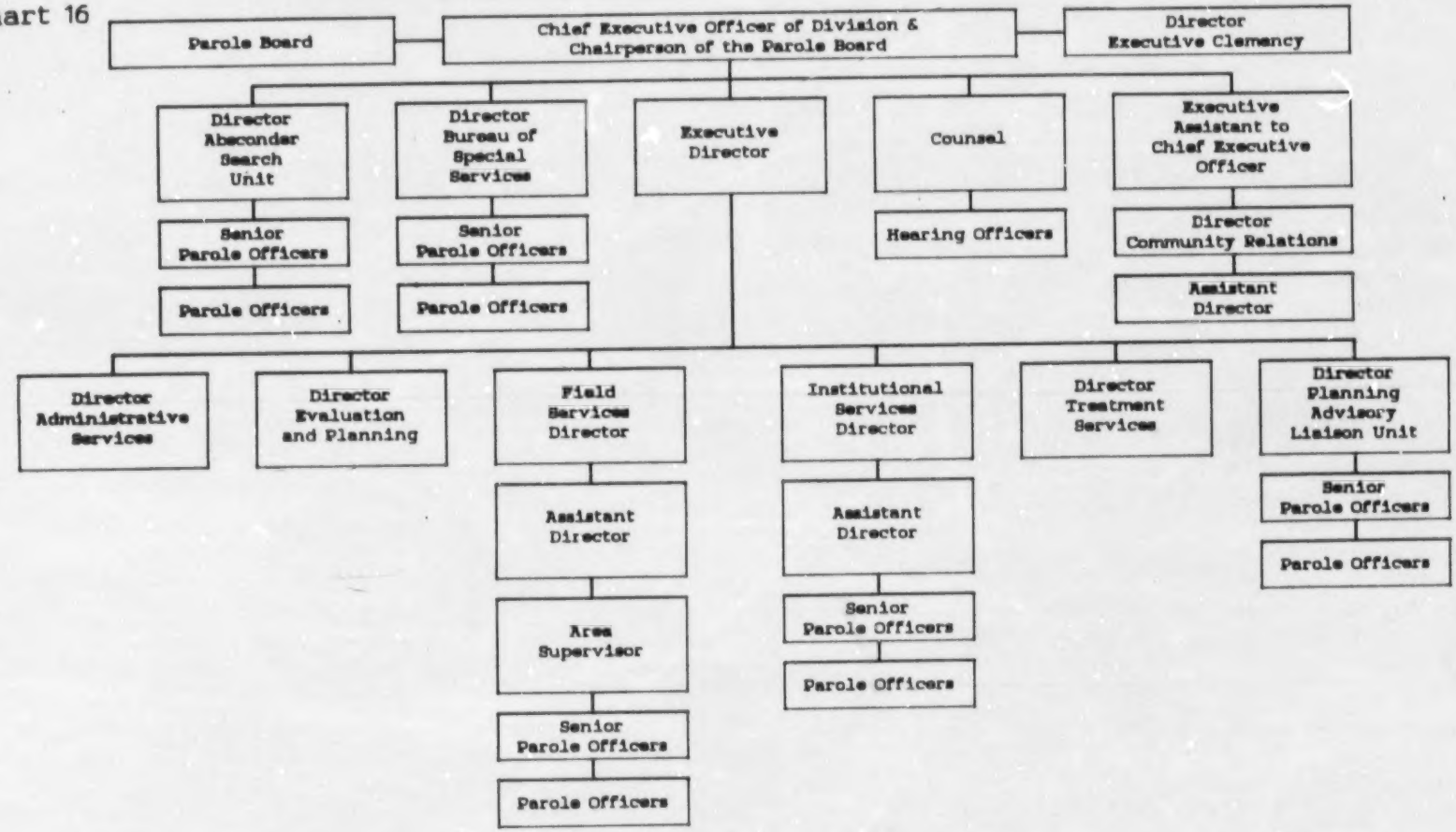

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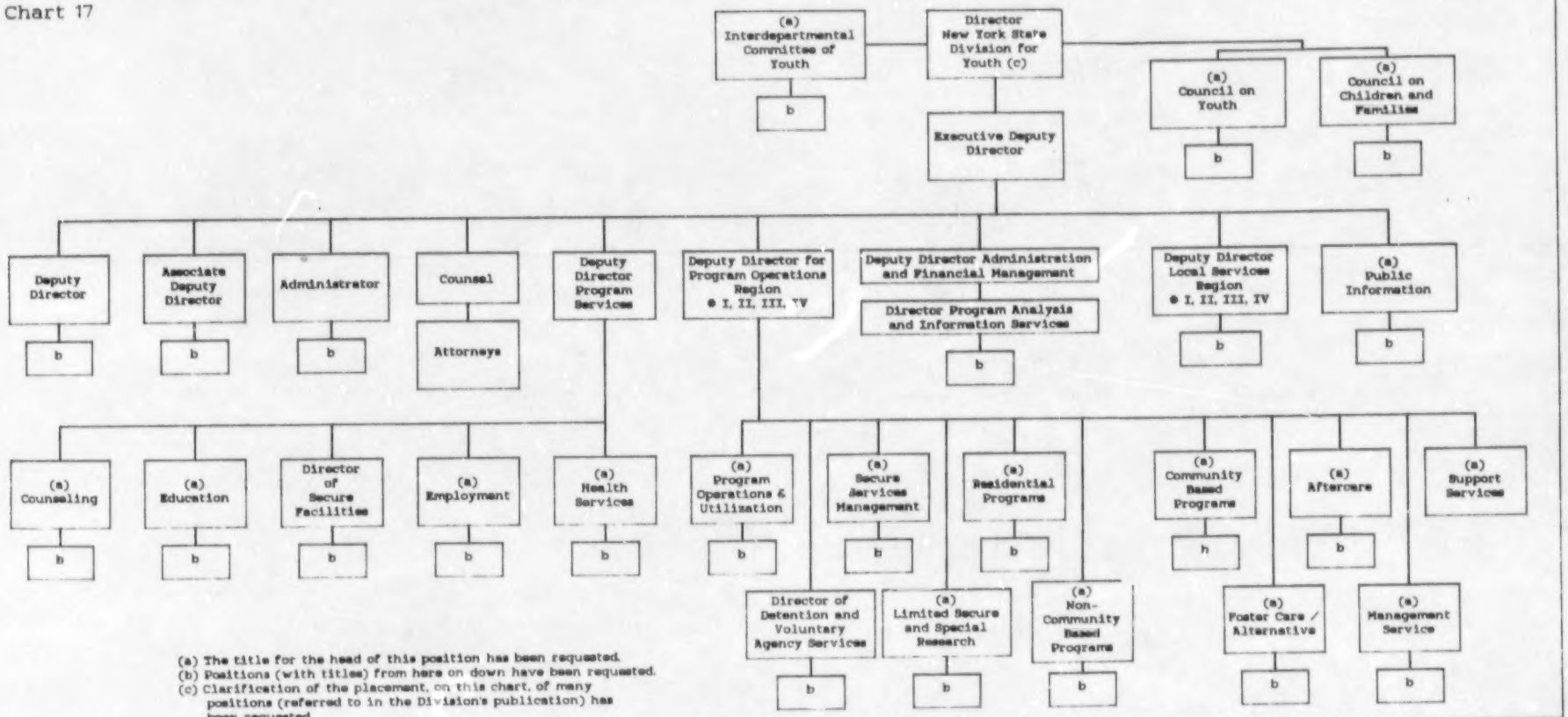
Chart 17



(a) The title for the head of this position has been requested.
(b) Positions (with titles) from here on down have been requested.
(c) Clarification of the placement, on this chart, of many positions (referred to in the Division's publication) has been requested.

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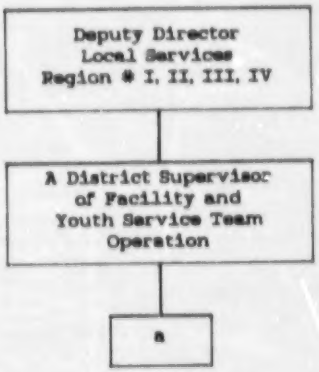
Chart 17A



(a) Positions (with titles) from here on down have been requested.

Specific Glossary

Accountability Relationship	The relationship which exists between a subordinate position (on an organization chart) and the position(s) superior to it, from the standpoint of *answerability* of the subordinate position to the superior position or positions, through levels, for the way in which that subordinate position consistently fulfills its assigned duties.
Organization Chart	A graphic representation of positions relating to each other in one organization or one system.

General Glossary

The following definitions are taken from *BLACK'S LAW DICTIONARY*, Fifth Edition:

Attorney General	"The Attorney General, as head of the Department of Justice and chief law officer of the Federal Government, represents the United States in legal matters, and gives advice and opinions to the President... In each state there is also an attorney general who is the chief law officer of the state. He gives advice and opinions to the governor and to executive and administrative departments or agencies." Page 118
District Attorney	"The prosecuting officer of the United States government in each of the federal judicial districts. Also, under the state governments, the prosecuting officer who represents the state in each of its judicial districts. In some states, where the territory is divided, for judicial purposes, into sections called by some other name than "districts", the same officer is denominated "prosecuting attorney", "county attorney", or "state's attorney". Page 427
Correctional System	"Network of governmental agencies concerned with prisons, jails, houses of correction and reformatories; may also refer to pardon and parole systems." Page 311
Parole	"Release from jail, prison or other confinement after actually serving part of the sentence... A conditional release of a prisoner, generally under supervision of a parole officer... Such may be revoked if he fails to observe the conditions provided in the parole order." Page 1006
Probation	"A sentence releasing the defendant into the community under the supervision of a probation officer. The status of a convicted person who is allowed his freedom after conviction subject to the condition that for a stipulated period he shall conduct himself in a manner approved by a special officer to whom he must make periodic reports. Probation is release by court before sentence has commenced." Page 1082